

NSF/CBMS Research Conference  
Ramanujan's Ranks,  
Mock Theta Functions, and Beyond  
May 16-20, 2022  
The University of Texas Rio Grande Valley

Frank Garvan  
url: [qseries.org/fgarvan](http://qseries.org/fgarvan)

University of Florida

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LECTURE 4 (under construction)  
CRANK AND RANK CONGRUENCES - PART 2  
(Includes joint work with John Streese)



MODULAR FORMS MODULO  $\ell$

CONGRUENCES FOR HALF-INTEGER WEIGHT MODULAR  
FORMS

RANK MOMENTS MOD  $\ell$

THE SECOND RANK MOMENT

THE  $(\ell - 1)$ TH RANK MOMENT

CRANK MOMENTS MOD  $\ell$

RANK AND CRANK DIFFERENCES MOD 13

**REMARK** To extend a partition congruence of the the form

$$p(An + b) \equiv \quad (\text{mod } \ell)$$

in terms of the rank or crank, it is NOT necessary to have

$$M(r, \ell, An + b) \equiv 0 \quad (\text{mod } \ell)$$

or

$$N(r, \ell, An + b) \equiv 0 \quad (\text{mod } \ell)$$

for EVERY  $0 \leq r \leq \ell - 1$ .

**PROBLEM** Find  $A, B$  so that

$$M(0, \ell, An + b) \equiv \cdots \equiv M(\ell - 1, \ell, An + B) \pmod{\ell}$$

or

$$N(0, \ell, An + b) \equiv \cdots \equiv N(\ell - 1, \ell, An + B) \pmod{\ell}$$

**ASSUMPTION THROUGHOUT:**  $\ell > 3$  is a fixed prime

## MODULAR FORMS MODULO $\ell$

Let  $M_k$  be the space of entire modular forms of weight  $k$ . For any subring  $R$  of  $\mathbb{C}$  let

$$M_k(R) = \left\{ f \in M_k : f = \sum_{n=0}^{\infty} a(n)q^n \in R[[q]] \right\}.$$

It is well known that  $M_k(\mathbb{Z})$  has an upper-triangular  $\mathbb{Z}$ -basis

$$\begin{aligned} & \left\{ E_4^a \Delta^b : 4a + 12b = k \right\} && \text{if } k \equiv 0 \pmod{4} \\ & \left\{ E_4^a E_6^b \Delta^c : 4a + 12b = k - 6 \right\} && \text{if } k \equiv 2 \pmod{4} \end{aligned}$$

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We call a rational number  $\frac{m}{n}$  ( $m, n \in \mathbb{Z}, (m, n) = 1$ )  $\ell$ -integral if  $\ell \nmid n$  and let  $\mathbb{Z}_{(\ell)}$  be the ring of  $\ell$ -integral rational numbers. For  $\alpha \in \mathbb{Z}_{(\ell)}$  we denote  $\bar{\alpha}$  to be the reduction of  $\alpha \pmod{\ell}$  and define



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$$\overline{\mathcal{M}}_k = \left\{ \bar{f} = \sum_{n=0}^{\infty} \overline{a(n)} q^n \in \mathbb{F}_{\ell}[[q]] : f = \sum_{n=0}^{\infty} a(n) q^n \in M_k(\mathbb{Z}_{(\ell)}) \right\}.$$

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## Theorem

As elements of  $\mathbb{F}_\ell[[q]]$

1.  $\overline{E_{\ell-1}} = 1,$
2.  $\overline{E_{\ell+1}} = E_2,$
3.  $\overline{\partial E_{\ell-1}} = \overline{E_{\ell+1}},$
4.  $\overline{\partial E_{\ell+1}} = -\overline{E_4 E_{\ell-1}},$

where  $\partial := 12q \frac{d}{dq} - kE_2$  and  $\partial : \mathcal{M}_k \rightarrow \mathcal{M}_{k+2}$ .

We call a polynomial  $F(E_4, E_6) \in R[E_4, E_6]$  isobaric of weight  $k$   
if

$$F(E_4, E_6) = \sum_{4a+6b=k} c_{a,b} E_4^a E_6^b.$$

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## Theorem

Let  $\mathbb{F}$  be the algebraic closure of  $\mathbb{F}_\ell$ .

1. The irreducible isobaric elements of  $\mathbb{F}[E_4, E_6]$  are  $E_4, E_6, E_4^3 - cE_6^2$  where  $c \in \mathbb{F}$ .
2. Any isobaric element of  $\mathbb{F}[E_4, E_6]$  can be uniquely written as a product of irreducible isobaric elements.
3. There are polynomials  $A(E_4, E_6), B(E_4, E_6) \in \mathbb{Z}_{(\ell)}[E_4, E_6]$  such that

$$A(E_4, E_6) = E_{\ell-1}, \quad B(E_4, E_6) = E_{\ell+1}. \quad (1)$$

4.  $E_4^3 - E_6^2$  does not divide  $A$ .
5.  $A$  has no multiple irreducible factor and is relatively prime to  $B$ .
6.  $\overline{\mathcal{M}}$  is naturally isomorphic to  $\mathbb{F}_\ell[E_4, E_6]/(\overline{A} - 1)$ .

NOTE  $\Delta = q \prod_{n=1}^{\infty} (1 - q^n)^{24} = \frac{1}{1728} (E_4^3 - E_6^2).$

Since  $\overline{E_{\ell-1}} = 1$  we have

$$\cdots \subset \overline{\mathcal{M}_k} \subset \overline{\mathcal{M}_{k+(\ell-1)}} \subset \cdots \subset \overline{\mathcal{M}_{k+n(\ell-1)}} \subset \cdots$$

For  $\alpha \in \mathbb{Z}/(\ell-1)\mathbb{Z}$  we define

$$\overline{\mathcal{M}}^\alpha := \bigcup_{k \in \alpha} \overline{\mathcal{M}_k}.$$

### Theorem

$$\overline{\mathcal{M}} = \bigoplus_{\alpha \in \mathbb{Z}/(\ell-1)\mathbb{Z}} \overline{\mathcal{M}}^\alpha$$

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Let  $f$  be a graded element of  $\overline{\mathcal{M}}$  i.e  $f \in \overline{\mathcal{M}}^\alpha$  for some  $\alpha \in \mathbb{Z}/(\ell - 1)\mathbb{Z}$ . The **filtration of  $f$**  is denoted and defined by

$$w(f) := \inf\{k : \bar{f} \in \overline{\mathcal{M}}_k\}.$$

DEFINE  $\Theta := q \frac{d}{dq}$  and  $U = U(\ell)$  is the operator

$$f | U(\ell) = \sum_{n=0}^{\infty} a(\ell n) q^n$$

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## Theorem

Let  $f \in \overline{\mathcal{M}}$ . Then

1.  $w(\Theta f) \leq w(f) + \ell + 1$  with equality if and only if  $w(f) \not\equiv 0 \pmod{\ell}$ .
2. If  $w(f) \equiv 0 \pmod{\ell}$  then  $w(\Theta f) \leq w(f) + 2$ .
3.  $w(f^i) = iw(f)$ , for  $i \geq 1$ .
4. If  $f \in \mathcal{M}_k(\mathbb{Z}_{(\ell)})$  then  $w(f) < k$  if and only if  $\overline{A}$  divides  $\overline{F}$  where  $f = F(E_4, E_6)$  ( $F$  is an isobaric polynomial of weight  $k$ ).

## Theorem

Suppose  $f \in \mathcal{M}_k(\mathbb{Z}(\ell))$ . Then

- i)  $w(f|U(\ell)) \leq \ell + \frac{1}{\ell}(w(f) - 1)$
- ii) If  $w(f) = \ell - 1$  then  $w(f|U(\ell)) = \ell - 1$
- iii)  $\overline{\Theta f} \in \overline{\mathcal{M}}_{k+(\ell+1)}$
- iv)  $\overline{\Theta^j f} \in \overline{\mathcal{M}}_{k+j(\ell+1)}$  for  $j \geq 1$ .

## CONGRUENCES FOR HALF-INTEGERS WEIGHT MODULAR FORMS

DEFINE  $\Theta^* = 24\Theta - 1$  so that

$$\Theta^* f = \sum_{n=0}^{\infty} (24n - 1)a(n)q^n \quad \text{if } f = \sum_{n=0}^{\infty} a(n)q^n$$

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DEFINE  $P = \frac{1}{(q)_{\infty}} = \sum_{n=0}^{\infty} p(n)q^n$  and

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For  $f = \sum_{n=0}^{\infty} a(n)q^n$  DEFINE

$$f | U^* = U^*(f) = U_\ell^*(f) = \sum_{n=0}^{\infty} a(\ell n + \beta_\ell)q^n$$

where  $1 < \beta_\ell < \ell$  satisfies and  $24\beta_\ell \equiv 1 \pmod{\ell}$ .

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### Lemma

For  $f \in \mathbb{Z}_{(\ell)}[[q]]$

$$q^{\beta_{\ell}}(f | U^*)^{\ell} \equiv f - (\Theta^*)^{\ell-1}f \pmod{\ell}$$

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*Proof* Let  $f = \sum_{n=0}^{\infty} a(n)q^n \in \mathbb{Z}_{(\ell)}[[q]]$ .

$$(f|U^*)^{\ell} \equiv \left( \sum_{n=0}^{\infty} a(\ell n + \beta_{\ell})q^n \right)^{\ell} \equiv \sum_{n=0}^{\infty} a(\ell n + \beta_{\ell})q^{n\ell} \pmod{\ell}$$

$$\begin{aligned} (\Theta^*)^{\ell-1}f &= \sum_{n=0}^{\infty} (24n-1)^{\ell-1}a(n)q^n \\ &= \sum_{n \not\equiv \beta_{\ell} \pmod{\ell}} (24n-1)^{\ell-1}a(n)q^n + \sum_{n \equiv \beta_{\ell} \pmod{\ell}} (24n-1)^{\ell-1}a(n)q^n \\ &\equiv \sum_{n \not\equiv \beta_{\ell} \pmod{\ell}} a(n)q^n \pmod{\ell} \\ &\equiv \sum_{n=0}^{\infty} a(n)q^n - \sum_{n \equiv \beta_{\ell} \pmod{\ell}} a(n)q^n \\ &\equiv f - \sum_{n=0}^{\infty} a(\ell n + \beta_{\ell})q^{\ell n + \beta_{\ell}} \end{aligned}$$

$$q^{\beta_\ell} \sum_{n=0}^{\infty} a(\ell n + \beta_\ell) q^{\ell n} \equiv f - (\Theta^*)^{\ell-1} f,$$
$$q^{\beta_\ell} (f|U^*)^\ell \equiv f - (\Theta^*)^{\ell-1} f \pmod{\ell}.$$

### Lemma

If  $f \in \mathcal{M}_k(\mathbb{Z}(\ell))$  then

$$\Theta^*(Pf) \equiv Pg \pmod{\ell},$$

for some  $g \in \overline{\mathcal{M}}_{k+\ell+1}$ .

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*Proof* Let  $f \in \mathcal{M}_k(\mathbb{Z}(\ell))$ .

$$\begin{aligned}
 \Theta^*(Pf) &= (24\Theta - 1)(Pf) \\
 &= 24\Theta(Pf) - Pf = 24P\Theta(f) + 24f\Theta(P) - Pf \\
 &= 24P\Theta(f) + f(24\Theta - 1)(P) \\
 &= 24P\Theta(f) + f\Theta^*(P) = 24P\Theta(f) - PE_2f \\
 &= P(24\Theta - E_2)(f) \\
 &= P(2(12\Theta - kE_2)(f))(f) + P(2k - 1)E_2f \\
 &\equiv Pg \pmod{\ell}
 \end{aligned}$$

where

$$g = 2\partial f + (2k - 1)E_2f \in \overline{\mathcal{M}}_{k+\ell+1}$$

since  $\partial f \in \overline{\mathcal{M}}_{k+2} \subset \overline{\mathcal{M}}_{k+2+(\ell-1)} = \overline{\mathcal{M}}_{k+\ell+1}$  and  $E_2 \equiv E_{\ell+1} \pmod{\ell}$ .

□

### Theorem

Let  $\ell > 3$  be prime,  $1 \leq \beta_\ell < \ell$  such that  $24\beta_\ell \equiv 1 \pmod{\ell}$ , and let

$$r_\ell = \frac{24\beta_\ell - 1}{\ell}, \quad \lambda_\ell = \frac{\ell^2 + 24\beta_\ell - 1}{24\ell} = \frac{1}{24}(\ell + r_\ell).$$

Suppose  $f \in \mathcal{M}_k(\mathbb{Z}(\ell))$ . Then

$$(Pf)|U^* \equiv E(q)^{r_\ell} g \pmod{\ell},$$

for some  $g \in \overline{\mathcal{M}}_{k+\ell-1-12\lambda_\ell}$  and  $w(g) \leq \frac{k+\ell^2-1-12\beta_\ell}{\ell}$ .

*Proof* Then by a Theorem from LECTURE 3,

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So

$$\begin{aligned} ((Pf)|U^*)^\ell &\equiv E(q)^{r_\ell} g^\ell \pmod{\ell} \\ &\equiv PE(q)^{24\beta_\ell} g^\ell \pmod{\ell}, \end{aligned}$$

and

$$q^{\beta_\ell} ((Pf)|U^*)^\ell \equiv P\Delta^{\beta_\ell} g^\ell \pmod{\ell}.$$

Let  $w(g) = k'$  so that for some isobaric polynomial  $Q[E_4, E_6]$  of weight  $k'$  in  $\mathbb{F}_\ell[E_4, E_6]$ ,

$$\bar{g} = \bar{Q}(E_4, E_6).$$

Since  $w(g) = k'$ ,  $\bar{A} \nmid \bar{g}$ . Also  $E_4^3 - E_6^2 \nmid \bar{A}$ ,  $\Delta = \frac{1}{1728}(E_4^3 - E_6^2)$  is a irreducible element of  $\mathbb{F}_\ell[E_4, E_6]$ .  $\gcd(\bar{\Delta}, \bar{A}) = 1$ ,  $\bar{A} \nmid \overline{\Delta^{\beta_\ell} g^\ell}$  so that

$$w(\Delta^{\beta_\ell} g^\ell) = 12\beta_\ell + \ell k'.$$

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$$w(\Delta^{\beta_\ell} g^\ell) = 12\beta_\ell + \ell k'.$$

By a previous LEMMA

$$\begin{aligned} q^{\beta_\ell} (Pf|U^*)^\ell &\equiv f - (\Theta^*)^{\ell-1} Pf \pmod{\ell} \\ &\equiv Ph \pmod{\ell} \end{aligned}$$

for some  $h \in \overline{\mathcal{M}}_{k+(\ell-1)(\ell+1)}$  by other previous LEMMA. Hence

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NOTE: This Theorem is an improvement of a THEOREM in LECTURE 3.

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## Theorem

Suppose  $F \in \mathcal{M}_k(\mathbb{Z}(\ell))$  and

$$\sum_{n=0}^{\infty} \alpha_{r_\ell}(F, n) q^n = E(q)^{r_\ell} F(q).$$

Then

$$\sum_{n=0}^{\infty} \alpha_{r_\ell}(F, \ell n + \ell - \lambda_\ell) q^n = E(q)^{23} g(q) \pmod{\ell}.$$

for some  $g \in \overline{\mathcal{M}}_{k+12\lambda_\ell-12}$ , with  $w(g) \leq \ell + \frac{1}{\ell}(12\lambda_\ell + k - 1) - 12$ .

## Theorem

Suppose  $f \in \mathcal{M}_k(\mathbb{Z}(\ell))$ ,  $j \geq 1$ , and

$$g(q) = \sum_{n=0}^{\infty} \gamma(n) q^n = E(q)^{r_\ell} f(q).$$

THEN

$$\sum_{n=0}^{\infty} (n + \lambda_\ell)^j \gamma(n) q^n \equiv E(q)^{r_\ell} h(q) \pmod{\ell}$$

for some  $h(q) \in \mathcal{M}_{k+j(\ell+1)}(\mathbb{Z})$ .



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There is a connection between rank and crank moments:

$$spt(n) = \frac{1}{2}(M_2(n) - N_2(n)),$$

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We prove an improvement of THEOREM (LECTURE 3).

NOTATION:

$$w_1(a, b, \ell) = a(\ell + 1) + 2b + (\ell - 1) - \frac{1}{2}(\ell + r_\ell),$$

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For  $2 \leq k \leq \frac{1}{2}(\ell - 1)$ ,

$$\mathcal{S}_{k,\ell} = \{(a, b, j) : j \in \{0, 4, 6, \dots, \ell - 3, \ell + 1\}, 0 \leq a \leq k, 0 \leq b \leq k - a, \\ w_1(a, b, \ell) \geq 0, j \leq \mu(a, b, \ell), j \equiv w_1(a, b, \ell) \pmod{\ell - 1}\}.$$

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$$\bar{m}(j, k, \ell) = \max\{\mu(a, b, \ell) : (a, b, \ell) \in \mathcal{S}_{k, \ell}\},$$
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### Theorem

For  $2 \leq k \leq \frac{1}{2}(\ell - 3)$ ,

$$\sum_{n=0}^{\infty} R_{2k}(\ell n + \beta_{\ell}) q^n \equiv c_k \sum_{n=0}^{\infty} R_2(\ell n + \beta_{\ell}) q^n + E(q)^{\ell} G_{\ell, R, 2k}(q) \pmod{\ell}$$

for some  $c_k \in \mathbb{Z}$ , where  $G_{\ell, R, 2k}(q) = \sum_{j \in \mathcal{J}_{k, \ell}} g_j(q)$  with each

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EXAMPLE  $\ell = 13$ ,  $2 \leq k \leq 5$ . It turns out that in this case:

$k$	$\mathcal{J}_{k,13}$
2	$\{0,4\}$
3	$\{0,4,6\}$
4	$\{0,4,6,8\}$
5	$\{0,4,6,8,10\}$

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We find (after some calculation) that

$$\sum_{n=0}^{\infty} N_4(13n+6)q^n \equiv E(q)^{11}(6E_4+12) \pmod{13}$$

$$\sum_{n=0}^{\infty} N_6(13n+6)q^n \equiv E(q)^{11}(4+E_4+10E_6) \pmod{13}$$

$$\sum_{n=0}^{\infty} N_8(13n+6)q^n \equiv E(q)^{11}(8+2E_4+6E_6) \pmod{13}$$

$$\sum_{n=0}^{\infty} N_{10}(13n+6)q^n \equiv E(q)^{11}(4+2E_4+10E_6+12E_{10}) \pmod{13}$$

THE  $(\ell - 1)$ TH RANK MOMENT

## Theorem

$$\begin{aligned} \sum_{n=0}^{\infty} R_{\ell-1}(\ell n + \beta_{\ell}) q^n &\equiv c_{\ell-1} \sum_{n=0}^{\infty} N_2(\ell n + \beta_{\ell}) q^n \\ &\quad + E(q)^{r_{\ell}} G_{\ell,R,\ell-1}(q) \\ &\quad + \frac{1}{\ell} E(q)^{r_{\ell}} (H_{1,\ell}(z) - H_{2,\ell}(z)) \pmod{\ell}, \end{aligned}$$

for some integer  $c_{\ell-1}$  where  $G_{\ell,R,\ell-1}(q)$  is a sum of  $\ell$ -integral modular forms  $G_{\ell,R,\ell-1}(q) = \sum_{j \in \mathcal{J}_{\frac{1}{2}(\ell-1),\ell}} g_j(q)$  where each

$g_j(q) \in \overline{\mathcal{M}}_{m(j, \frac{1}{2}(\ell-1), \ell)}$  and  $H_{1,\ell}(z) - H_{2,\ell}(z)$  are as in LECTURE 3 THEOREM.

## Conjecture

$$\begin{aligned} & \sum_{n=0}^{\infty} R_{\ell-1}(\ell n + \beta_{\ell}) q^n \\ & \equiv c_{\ell-1} \sum_{n=0}^{\infty} R_2(\ell n + \beta_{\ell}) q^n \\ & \quad + E(q)^{r_{\ell}} G_{\ell,R,\ell-1}(q) + \Phi_{\ell-2} \cdot h(q) \pmod{\ell} \end{aligned}$$

where  $h(q) \in \overline{\mathcal{M}}_{\ell - \frac{1}{2}(r_{\ell} + \ell)}$  and

$$\Phi_{\ell-2} = \sum_{n=1}^{\infty} \sigma_{\ell-2}(n) q^n.$$



EXAMPLE.  $\ell = 13$ . Proceeding as before we find that

$$U^*(F) \equiv E^{11}(2E_4 + 6E_6 + E_{10} + 4E_6^2 + 9\Delta) \pmod{13}.$$

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We find

$$\Delta^7 \mid T(13) = \Delta(z)H_1(z)$$

$H_1(z) \in \mathcal{M}_{72}(\mathbb{Z})$  and

$$\begin{aligned} H_1(z) \equiv & 11E_6^{12} + 46E_6^{10}\Delta + 116E_6^8\Delta^2 + 60E_6^6\Delta^3 \\ & + 16E_6^4\Delta^4 + 154E_6^2\Delta^5 + 93\Delta^6 \pmod{13^2}. \end{aligned}$$

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└ RANK MOMENTS MOD  $\ell$ └ THE  $(\ell - 1)$ TH RANK MOMENT

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$$qU^*(E_{12}P) \equiv \frac{(\Delta^7 E_{12})|U}{E^{13}} \equiv \frac{(\Delta^7 E_{12})|T(13)}{E^{13}} \pmod{13^2}.$$

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$$B = 9E_6^{12} + E_6^{10}\Delta + 11E_6^8\Delta^2 + 3E_6^6\Delta^3 \\ + 6E_6^4\Delta^4 + 9E_6^2\Delta^5 + 4\Delta^6,$$

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After CALCULATION we find that  $w(A) = 12$ ,  $w(B) = 0$  and

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## CRANK MOMENTS MOD $\ell$

Since

$$M_2(n) = \frac{1}{2}np(n)$$

the second crank moment is easier than the second rank moment  
 and

$$\sum_{n=0}^{\infty} M_2(\ell n + \beta_\ell) q^n \equiv E(q)^{r_\ell} G_{\ell,C,2}(q) \pmod{\ell}$$

where  $G_{\ell,R,2}(q) \in \overline{M}_{\ell-1-\frac{1}{2}(r_\ell+\ell)}$ .



EXAMPLE  $\ell = 13, r_\ell = 11, \ell - 1 - \frac{1}{2}(r_\ell + \ell) = 0$ . we find that

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The analogues of the rank moments mod  $\ell$  hold for the crank moments mod  $\ell$  except there is no extra term involving the second crank moment.

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$$\sum_{n=0}^{\infty} M_2(13n+6)q^n \equiv 2E(q)^{11} \pmod{13}$$

$$\sum_{n=0}^{\infty} M_4(13n+6)q^n \equiv 12E(q)^{11}(E_4+1) \pmod{13}$$

$$\sum_{n=0}^{\infty} M_6(13n+6)q^n \equiv E(q)^{11}(2E_4+9E_6+4) \pmod{13}$$

$$\sum_{n=0}^{\infty} M_8(13n+6)q^n \equiv 4E(q)^{11}(E_4+2E_6+E_8+2) \pmod{13}$$

$$\sum_{n=0}^{\infty} M_{10}(13n+6)q^n \equiv E(q)^{11}(9E_4E_6+4E_4+9E_6+2E_8+4) \pmod{13}$$

$$\sum_{n=0}^{\infty} M_{12}(13n+6)q^n \equiv E(q)^{11}(5E_6^2+4E_{10}+4E_4+8E_6$$

$$+2E_8+9\Phi_{11}+12\Delta) \pmod{13}$$

# RANK AND CRANK DIFFERENCES MOD 13

DEFINE

$$N_{r_1, r_2, \ell}(n) = N(r_1, \ell, n) - N(r_2, \ell, n)$$

and

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## Theorem

$$r_{0,1}(6) \equiv E(q)^{11}(12E_{10} + 11E_4 + 2) \pmod{13},$$

$$r_{0,2}(6) \equiv E(q)^{11}(9E_{10} + 2E_4 + 9E_6 + 6) \pmod{13},$$

$$r_{0,3}(6) \equiv E(q)^{11}(4E_{10} + E_4 + E_6 + 7) \pmod{13},$$

$$r_{0,4}(6) \equiv E(q)^{11}(10E_{10} + 4E_4 + 3E_6 + 10) \pmod{13},$$

$$r_{0,5}(6) \equiv E(q)^{11}(E_{10} + 5E_4 + 12E_6 + 8) \pmod{13},$$

$$r_{0,6}(6) \equiv E(q)^{11}(3E_{10} + 3E_4 + E_6 + 7) \pmod{13}.$$

## Theorem

$$c_{0,4}(6) \equiv E(q)^{11}(9E_{10} + 9E_4 + 6E_8 + 2) \pmod{13},$$

$$c_{0,4}(6) \equiv E(q)^{11}(10E_{10} + 4E_4 + 12E_6 + 7E_8 + 6) \pmod{13},$$

$$c_{0,4}(6) \equiv E(q)^{11}(3E_{10} + 2E_4 + 10E_6 + 4E_8 + 7) \pmod{13},$$

$$c_{0,4}(6) \equiv E(q)^{11}(E_{10} + 8E_4 + 4E_6 + 3E_8 + 10) \pmod{13},$$

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## Theorem

$$N(0, 13, 13n + 6) \equiv \cdots \equiv N(12, 13, 13n + 6) \pmod{13} \quad (A)$$

*if and only if*

$$M(0, 13, 13n + 6) \equiv \cdots \equiv M(12, 13, 13n + 6) \pmod{13}. \quad (B)$$

*Further, if either Congruence (A) or (B) holds then*

$$N(0, 13, 13n + 6) \equiv M(0, 13, 13n + 6) \pmod{13}. \quad (C)$$

*PROOF DEFINE*

$$F_0 = \sum_{n=0}^{\infty} f_0(n)q^n = E(q)^{11},$$

$$F_4 = \sum_{n=0}^{\infty} f_4(n)q^n = E(q)^{11}E_4,$$

$$F_6 = \sum_{n=0}^{\infty} f_6(n)q^n = E(q)^{11}E_6,$$

$$F_8 = \sum_{n=0}^{\infty} f_8(n)q^n = E(q)^{11}E_4^2,$$

$$F_{10} = \sum_{n=0}^{\infty} f_{10}(n)q^n = E(q)^{11}E_4E_6,$$

$$F_{12} = \sum_{n=0}^{\infty} f_{12}(n)q^n = E(q)^{11}(E_6^2 + \Delta).$$



Suppose (A) holds for a fixed  $n$ . Then consider the coefficient matrix

$$B = \begin{bmatrix} 2 & 11 & 0 & 12 \\ 6 & 2 & 9 & 9 \\ 7 & 1 & 1 & 4 \\ 10 & 4 & 3 & 10 \end{bmatrix}$$

The  $i$ -th row of  $B$  corresponds to the coefficient of  $F_0, F_4, F_6, F_{10}$  in equation for  $N_{0,i,13}(13n+6)$ . Therefore since  $\det(B) \equiv 6 \not\equiv 0 \pmod{13}$  this implies that

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By **qdq THEOREM**

$$\sum_{n=0}^{\infty} (n+1)^5 f_{10}(n) q^n \equiv E(q)^{11} h(q) \pmod{13}$$

for some  $h(q) \in M_{80}(\mathbb{Z})$ .  $80 \equiv 8 \pmod{12}$  and we find that  $w(h) = 8$  and  $h(q) \equiv E_8 \pmod{13}$ , so that



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The proof that (B) implies (A) is straightforward.

Now suppose (A) or (B) holds for a fixed  $n$ . Then

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We find that

$$\begin{aligned} & \sum_{n=0}^{\infty} (N(0, 13, 13n + 6) - M(0, 13, 13n + 6))q^n \\ & \equiv (E(q)^{11}(4 + 2E_4 + 2E_6 + 2E_8 + 3E_{10} + 2\Delta)) \pmod{13} \\ & \equiv (E(q)^{11}(2E_4 + 2E_6 + 2E_8 + 3E_{10} + 4(E_6^2 + \Delta))) \pmod{13} \end{aligned}$$

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so that

$$\begin{aligned} & N(0, 13, 13n+6) - M(0, 13, 13n+6) \\ & \equiv 2f_4(n) + 2f_6(n) + 2f_8(n) + 3f_{10}(n) + 4f_{12}(n) \pmod{13} \end{aligned}$$



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Applying **qdq THEOREM** and proceeding as before we find that

$$\begin{aligned} f_{12}(n) &\equiv 2(n+1)^9 f_6(n) + 12f_{10}(n) \pmod{13} \\ &\equiv 0 \pmod{13}, \end{aligned}$$

as required and (C) follows.