

NSF/CBMS Research Conference  
Ramanujan's Ranks,  
Mock Theta Functions, and Beyond  
May 16-20, 2022  
The University of Texas Rio Grande Valley

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May 17, 2022

# LECTURE 3

## CRANK AND RANK CONGRUENCES - PART 1

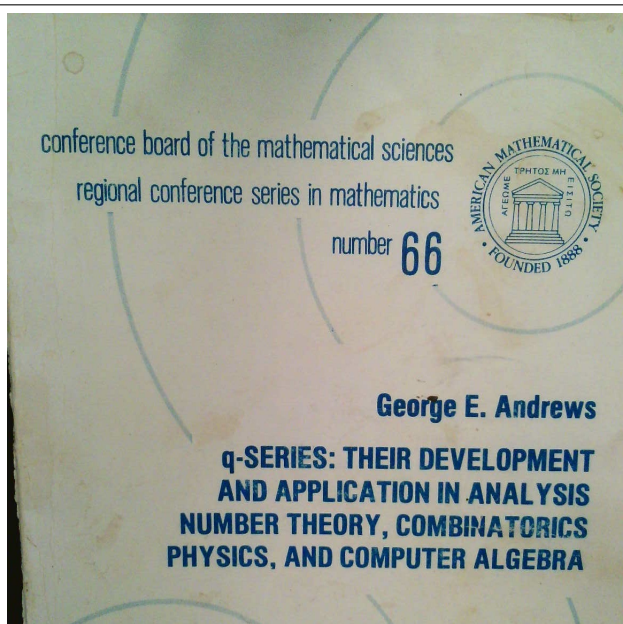
## BACKGROUND ON MODULAR FORMS AND HECKE OPERATORS

### PARTITION CONGRUENCES FOR PRIMES $> \ell$

### CRANK AND RANK CONGRUENCES

THE CRANK-RANK PDE AND RANK AND CRANK  
MOMENTS

$\ell$ -INTEGRAL QUASI-MODULAR FORMS



Conference Board of the Mathematical Sciences

# CBMS

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Regional Conference Series in Mathematics

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Number 102

The Web of Modularity:  
Arithmetic of the  
Coefficients of Modular  
Forms and  $q$ -series

Ken Ono

# BACKGROUND ON MODULAR FORMS AND HECKE OPERATORS

## 1.2. INTEGER WEIGHT MODULAR FORMS

3

### 1.2. Integer weight modular forms

The group

$$\mathrm{GL}_2^+(\mathbb{R}) = \left\{ \gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} : a, b, c, d \in \mathbb{R} \text{ and } ad - bc > 0 \right\}$$

acts on functions  $f(z) : \mathcal{H} \rightarrow \mathbb{C}$ . In particular, suppose that  $\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{GL}_2^+(\mathbb{R})$ . If  $f(z)$  is a meromorphic function on  $\mathcal{H}$  and  $k$  is an integer, then define the “slash” operator  $|_k$  by

$$(1.3) \quad (f|_k \gamma)(z) := (\det \gamma)^{k/2} (cz + d)^{-k} f(\gamma z),$$

where

$$\gamma z := \frac{az + b}{cz + d}.$$

**DEFINITION 1.8.** Suppose that  $f(z)$  is a meromorphic function on  $\mathcal{H}$ , that  $k \in \mathbb{Z}$ , and that  $\Gamma$  is a congruence subgroup of level  $N$ . Then  $f(z)$  is called a *meromorphic modular form with integer weight  $k$  on  $\Gamma$*  if the following hold:

(1) We have

$$f\left(\frac{az + b}{cz + d}\right) = (cz + d)^k f(z)$$

for all  $z \in \mathcal{H}$  and all  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma$ .

(2) If  $\gamma_0 \in \mathrm{SL}_2(\mathbb{Z})$ , then  $(f|_k \gamma_0)(z)$  has a Fourier expansion of the form

$$(f|_k \gamma_0)(z) = \sum a_{\gamma_0}(n) q_N^n,$$

Let  $N$  be a positive integer and  $k$  a nonnegative integer, and let  $\chi$  be a Dirichlet character mod  $N$ .

$M_k(N)$  denotes the space of entire modular forms of weight  $k$  on  $\Gamma_0(N)$

$S_k(N)$  denotes the space of entire cusp forms of weight  $k$  on  $\Gamma_0(N)$

$M_k(N, \chi)$  denotes the space of entire modular forms of weight  $k$  on  $\Gamma_0(N)$  with character  $\chi$

$S_k(N, \chi)$  denotes the space of entire cusp forms of weight  $k$  on  $\Gamma_0(N)$  with character  $\chi$

For the following spaces we assume  $4 \mid N$ .

$M_{k+\frac{1}{2},\chi}(N)$  denotes the space of entire modular forms of half  
integral weight  $k + \frac{1}{2}$  on  $\Gamma_0(N)$  with character  $\chi$

$S_{k+\frac{1}{2},\chi}(N)$  denotes the space of entire cusp forms of half  
integral weight  $k + \frac{1}{2}$  on  $\Gamma_0(N)$  with character  $\chi$

For  $\ell$  prime the half integral weight Hecke operator

$$T_{k,N,\chi}(\ell^2) = T(\ell^2)$$

is given by

$$f \mid T(\ell^2) = \sum_{n=0}^{\infty} c(n)q^n,$$

where

$$c(n) = a(\ell^2 n) + \chi(\ell) \left( \frac{(-1)^k n}{\ell} \right) \ell^{k-1} a(n) + \chi(\ell^2) \ell^{2k-1} a(n/\ell^2),$$

$$f = \sum_{n=0}^{\infty} a(n)q^n$$

and  $a(k) = 0$  if  $k$  is not a nonnegative integer.

We note that  $T_{k,N,\chi}(\ell^2)$  preserve the spaces  $M_{k+\frac{1}{2},\chi}(N)$  and  $S_{k+\frac{1}{2},\chi}(N)$ .

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## THE DEDEKIND ETA FUNCTION

$$\eta(\tau) := \exp(\pi i \tau / 12) \prod_{n=1}^{\infty} (1 - \exp(2\pi i n \tau)),$$

for  $\text{Im}(\tau) > 0$ . Then

$$\eta(24\tau) \in S_{1/2}(576, \chi_{12}),$$

where  $\chi_{12}(n) = \left(\frac{12}{n}\right)$ .

## Proposition

*Let  $1 \leq r \leq 23$  with  $(r, 24) = 1$ , suppose  $m$  is a nonnegative even integer, and  $\ell > 3$  is prime. Then the Hecke operator  $T(\ell^2)$  preserves the following subspace of  $S_{m+\frac{1}{2}}(576, \chi_{12})$ :*

$$\mathcal{C}_{r,m} = \{\eta^r(24\tau)F(24\tau) : F \in M_m(1)\}$$

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RETURN TO MOD 11 EXAMPLE

## Corollary

*Let  $r, m$  be as above. If  $F \neq 0$ ,  $F \in M_m(1)$  and  $\dim M_m(1) = 1$  then  $g(\tau) = \eta^r(24\tau)F(24\tau)$  is a Hecke eigenform on  $S_{m+\frac{1}{2}}(576, \chi_{12})$ .*

Suppose  $k$  is a nonnegative even integer. For  $\ell$  prime define the WEIGHT  $k$  HECKE OPERATOR by

$$f | T(\ell) = \sum_{n=0}^{\infty} c(n)q^n,$$

where

$$c(n) = a(\ell n) + \ell^{k-1}a(n/\ell),$$

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For  $\ell > 3$  define

$$h_{\ell}(\tau) = (\eta(\tau)\eta(\ell\tau))^{\ell-1}$$

## Proposition

*Let  $\ell > 3$  be prime and  $k$  a nonnegative even integer. Suppose  $F(\tau) \in M_k(1)$ . Then*

$$(h_\ell(\tau)F(\tau)) \mid U(\ell) + (-1)^{(\ell-1)/2} \ell^{k+(\ell-1)/2-1} h_\ell(\tau)F(\ell\tau) \in S_{k+\ell-1}(1)$$

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Here

The  $U(\ell)$  operator acts by

$$\sum_{n=0}^{\infty} a(n)q^n \mid U(\ell) = \sum_{n=0}^{\infty} a(\ell n)q^n$$

For  $F \in M_k(1)$  DEFINE  $p(F, n)$  by

$$\sum_{n=0}^{\infty} p(F, n) q^n = \frac{F(\tau)}{\prod_{n=1}^{\infty} (1 - q^n)}$$

where  $q = \exp(2\pi i\tau)$

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SOME CONSTANTS. Define  $1 \leq \beta_\ell \leq \ell - 1$ , by  $24\beta_\ell \equiv 1 \pmod{\ell}$ . Let

$$r_\ell = \frac{1}{\ell}(24\beta_\ell - 1), \quad \lambda_\ell = \frac{1}{24\ell}(\ell^2 + 24\beta_\ell - 1)$$

## Theorem (G.)

Suppose  $\ell > 3$  is prime,  $k$  is a nonnegative even integer, and  $F(\tau) \in M_k(1) \cap \mathbb{Z}[[q]]$ . Then

$$\sum_{n=0}^{\infty} p(F, \ell n + \beta_{\ell}) q^n \equiv E(q)^{r_{\ell}} g(\tau) \pmod{\ell}$$

for some  $g \in M_{k+\ell-1-12\lambda_{\ell}}(1) \cap \mathbb{Z}[[q]]$ ,

$$E(q) = \prod_{n=1}^{\infty} (1 - q^n)$$

## PARTITION CONGRUENCES FOR PRIMES $> \ell$

EXAMPLE  $\ell = 13$ ,  $\beta_{13} = 6$ ,  $r_{13} = 11$ ,  $\lambda_{13} = 1$ .

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$$\sum_{n=0}^{\infty} p(13n+6)q^n \equiv 11E(q)^{11} \pmod{13}$$

so that

$$\sum_{n=0}^{\infty} p(13n+6)q^{24n+11} \equiv 11\eta(24\tau)^{11} \pmod{13}$$

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Since  $\dim M_0(1) = 1$  we have for each prime  $\ell > 3$

$$\eta(24\tau)^{11} \mid T(\ell^2) = \gamma_{\ell}\eta(24\tau)^{11}$$

for some constant  $\gamma_{\ell}$ .

We wish to find an eigenvalue  
 $\gamma_\ell \equiv 0 \pmod{13}$

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DEFINE  $Q(n)$  by

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so that  $Q(m) = p(\frac{1}{24}(13m+1))$  if  $m \equiv 11 \pmod{24}$ .

Since  $\eta(24\tau)^{11} \in S_{5+\frac{1}{2}}(576, \chi_{12})$

$$Q(59^2 m) + \left(\frac{-m}{59}\right) 59^4 Q(m) + 59^9 Q(m/59^2) \equiv 0 \pmod{13}$$

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We take  $m \equiv 59 \pmod{59^2 \cdot 24}$  so that

$$\begin{array}{l} \text{We want } m \equiv 0 \pmod{59} \\ m \not\equiv 0 \pmod{59^2} \text{ and } m \equiv 11 \pmod{24} \end{array}$$

We take  $m \equiv 59 \pmod{59^2 \cdot 24}$  so that

$$p(59^4 \cdot 13m + 111247) \equiv 0 \pmod{13}$$

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OLIVER ATKIN

An obvious superficial use of computers is to find numerical accidents which are needed to apply known results. However, it is often more difficult to discover results in this subject than to prove them, and an informed search on the machine may enable one to find out precisely what happens. A striking example of this is Theorem 2 below, which was

OLIVER ATKIN [3 SEP 1996 on NMBRTHRY]

$$p(1140773130436436432134058026060201612619574856085125n + 1278827052061576887278324769721420299) \equiv 0 \pmod{113}$$

OLIVER ATKIN [3 SEP 1996 on NMBRTHRY]

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$$p(n) \equiv 0 \pmod{73} \text{ if } \left(\frac{24n-1}{73}\right) = -1 \text{ and } 24n-1 \text{ is divisible by } 5^{10655} \text{ and not by } 5^{10656}.$$

$$1140773130436436432134058026060201612619574856085125 \\ = (5)^3 (7)^4 (13)^3 (17)^3 (19)^4 (37)^4 (113) (337)^3 (661)^3 (1049)^3$$

$$24\beta - 1 = (5)^2 (7)^3 (13)^2 (17)^2 (19)^3 (37)^3 (337)^2 (661)^2 (1049)^2 (3863)$$

where

$$\beta = 1278827052061576887278324769721420299$$

### Theorem (K. ONO (2000))

*Let  $m > 3$  be prime and  $k \geq 1$ . There is a positive proportion of primes  $\ell$  such that*

$$p\left(\frac{m^k \ell^3 n + 1}{24}\right) \equiv 0 \pmod{m}$$

*for  $(n, \ell) = 1$ .*

### Theorem (S. AHLGREN (2000))

*Let  $M$  be a positive integer coprime to 6. Let  $G_M$  be the product of all the prime factors of  $M$ . Then a positive proportion of the primes  $\ell \equiv -1 \pmod{576M}$  have the property that*

$$p\left(\frac{G_M \ell^3 n + 1}{24}\right) \equiv 0 \pmod{M}$$

*for  $(n, \ell) = 1$ .*

## CRANK AND RANK CONGRUENCES

### Conjecture (K. ONO)

*For every prime  $\ell \geq 5$ , and integers  $j \geq 1$  there are infinitely many arithmetic progressions  $An + B$  for which*

$$M(r, \ell, An + B) \equiv 0 \pmod{\ell^j}$$

*for all  $n \geq 0$  and all  $0 \leq r \leq \ell - 1$ .*

## CRANK AND RANK CONGRUENCES

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*for all  $n \geq 0$  and all  $0 \leq r \leq \ell - 1$ .*

**K. MAHLBURG (2005)**

### Theorem (K. BRINGMANN (2009))

*For every prime  $\ell \geq 5$ , and integers  $m, u \geq 1$  there is a positive proportion of primes  $p \equiv -1 \pmod{24\ell}$  such that for every  $0 \leq r \leq \ell^m - 1$*

$$N\left(r, \ell^m, \frac{p^3 n + 1}{24}\right) \equiv 0 \pmod{\ell^u}$$

*where  $n$  is a quadratic residue mod  $\ell$  and not divisible by  $p$ .*

**K. BRINGMANN AND K. ONO (2010)**

# THE CRANK-RANK PDE AND RANK AND CRANK MOMENTS

Theorem (A.O.L. ATKIN and G. (2003))

$$z(q; q)_{\infty}^2 (C^*(z, q))^3 = (3\delta_q + \tfrac{1}{2}\delta_z + \tfrac{1}{2}\delta_z^2) R^*(z, q),$$

where

$$C^*(z, q) = \frac{1}{1-z} C(z, q), \quad R^*(z, q) = \frac{1}{1-z} R(z, q),$$
$$\delta_q = q \frac{d}{dq}, \quad \delta_z = z \frac{d}{dz}$$

└ CRANK AND RANK CONGRUENCES

└ THE CRANK-RANK PDE AND RANK AND CRANK MOMENTS

## CRANK AND RANK MOMENTS

$$M_k(n) = \sum_m m^k M(m, n), \quad C_k(q) = \sum_{n=0}^{\infty} M_k(n) q^n,$$
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## EISENSTEIN SERIES REVISITED

$$\Phi_j(q) = \sum_{n=1}^{\infty} \sigma_j(n) q^n, \quad \sigma_j(n) = \sum_{d|n} d^j,$$

$$E_n(\tau) = 1 - \frac{2n}{B_n} \Phi_{n-1}(q)$$

## CRANK MOMENTS AS QUASIMODULAR FORMS

### Theorem (ATKIN and G.)

For  $n \geq 1$  there are integers  $\alpha_{a_1, a_2, \dots, a_n}$  such that

$$C_{2n}(q) = P \sum_{a_1 + 2a_2 + \dots + na_n = n} \alpha_{a_1, a_2, \dots, a_n} \Phi_1^{a_1} \Phi_3^{a_2} \cdots \Phi_{2n-1}^{a_n}$$

where

$$P = \sum_{n=0}^{\infty} p(n)q^n = \frac{1}{(q)_{\infty}}$$

## CRANK MOMENTS AS QUASIMODULAR FORMS

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where

$$P = \sum_{n=0}^{\infty} p(n)q^n = \frac{1}{(q)_{\infty}}$$

$$C_k(q) = (\delta_z)^k C(z, q) \Big|_{z=1}, \quad R_k(q) = (\delta_z)^k R(z, q) \Big|_{z=1},$$

## CRANK-RANK MOMENT EQUATION

$$\begin{aligned}
& \sum_{i=0}^{a/2-1} \binom{a}{2i} \sum_{\substack{\alpha+\beta+\gamma=a-2i \\ \alpha, \beta, \gamma \text{ even} \geq 0}} \binom{a-2i}{\alpha, \beta, \gamma} C_\alpha C_\beta C_\gamma P^{-2} - 3(2^{a-1} - 1) C_2 \\
&= \frac{1}{2}(a-1)(a-2)R_a + 6 \sum_{i=1}^{a/2-1} \binom{a}{2i} (2^{2i-1} - 1) \delta_q(R_{a-2i}) \\
&+ \sum_{i=1}^{a/2-1} \left[ \binom{a}{2i+2} (2^{2i+1} - 1) - 2^{2i} \binom{a}{2i+1} + \binom{a}{2i} \right] R_{a-2i}.
\end{aligned}$$

## THE SPACE $\mathcal{W}_{2n}$

Let  $n$  be a positive integer. The set

$$\left\{ \phi_1^a \phi_3^b \phi_5^c : 1 \leq a + 2b + 3c \leq n \right. \\ \left. \text{with } a, b, c \text{ nonnegative integers} \right\}$$

is linearly independent (over  $\mathbb{C}$ ) and spans a vector space we denote by  $\mathcal{W}_{2n}$ . Let

$$P\mathcal{W}_k = \{ G P : G \in \mathcal{W}_k \}.$$

## PROPERTIES OF CRANK MOMENTS

- (i) For  $n > 1$   $\Phi_{2n-1} \in \text{Span}\{\Phi_3^b \Phi_5^c : 2 \leq 2b + 3c \leq n\} \subset \mathcal{W}_{2n}$ .
- (ii) For  $n \geq 1$ ,  $C_{2n} \in P\mathcal{W}_{2n}$ .
- (iii) For  $m \geq 0$  and  $n \geq 1$ ,  $\delta_q^m(\mathcal{W}_{2n}) \subset \mathcal{W}_{2n+2m}$ .
- (iv) For  $n \geq 1$   $\dim \mathcal{W}_{2n} = 2n + \sum_{k=2}^n (2n - 2k + 1) \dim M_{2k}(1)$
- (v) For  $m \geq 1$   $\delta_q^m(P) \in P\mathcal{W}_{2m}$ .
- (vi) For  $m \geq 0$  and  $n \geq 1$ ,  $\delta_q^m(C_{2n}) \in P\mathcal{W}_{2n+2m}$ .

DEFINE

$$Y_{2k} := \sum_{i=0}^{k-1} \binom{2k}{2i} \sum_{\substack{\alpha+\beta+\gamma=2k-2i \\ \alpha, \beta, \gamma \text{ even} \geq 0}} \binom{2k-2i}{\alpha, \beta, \gamma} C_{\alpha} C_{\beta} C_{\gamma} P^{-2} \\ - 3 \left( 2^{2k-1} - 1 \right) C_2.$$

Corollary

For  $n \geq 2$ ,  $Y_{2n} \in PW_{2n}$

Then

$$\begin{aligned}
 R_{2k} = & \frac{1}{(2k-1)(k-1)} Y_{2k} \\
 & - \frac{1}{(2k-1)(k-1)} \left( \sum_{i=1}^{k-1} 6 \binom{2k}{2i} (2^{2i-1} - 1) \delta_q(R_{2k-2i}) \right. \\
 & \left. + \sum_{i=1}^{k-1} \left[ \binom{2k}{2i+2} (2^{2i+1} - 1) - 2^{2i} \binom{2k}{2i+1} + \binom{2k}{2i} \right] R_{2k-2i} \right).
 \end{aligned}$$

## Theorem (ATKIN and G.)

For  $k \geq 2$

$$R_{2k} = P_k(\delta_q) R_2 + \sum_{j=2}^k Q_{k,j}(\delta_q) Y_{2j},$$

where  $P_k(x)$ ,  $Q_{k,j} \in \mathbb{Q}[x]$  have degrees  $k-1$  and  $k-j$  resp.

Further the polynomials  $P_k(x)$  satisfy  $P_0(x) := 0$ ,  $P_1(x) = 1$  and for  $k \geq 2$  we have the recurrence relation

$$P_k(x) = (1 - 12x) P_{k-1}(x) - 36x^2 P_{k-2}(x)$$

## Corollary

*For  $k \geq 2$  the polynomial  $P_k(x)$  has integer coefficients. If  $\ell > 3$  is prime then*

$$P_{\frac{\ell+1}{2}}(x) \equiv \frac{\ell+1}{2} \left( 1 + (1-24x)^{\frac{\ell-1}{2}} \right) \pmod{\ell}. \quad (1)$$

RETURN TO 2ND RANK COROLLARY

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RETURN TO 2ND RANK COROLLARY

DEFINE for  $k \geq 1$

$$\mathcal{C}_{2k} = \{ \delta_q^m(C_{2j}) : 1 \leq j \leq k, j+m \leq k \} \subset PW_{2k}$$

## Theorem (CRANK AND RANK MOMENT RELATIONS)

(i) For  $k = 2, 3, 4, 5$  there are polynomials  $\tilde{Q}_{k,j}(x) \in \mathbb{Q}[x]$  of degree  $k - j$  ( $1 \leq j \leq k$ ) such that

$$N_{2k}(n) = P_k(n)N_2(n) + \sum_{j=1}^k \tilde{Q}_{k,j}(n)M_{2j}(n)$$

(ii)

$$\begin{aligned} N_{12}(n) = & -(6n-1)(18n-1)(12n-1)(36n^2-24n+1)N_2(n) \\ & + \sum_{j=1}^6 \tilde{Q}_{6,j}(n)M_{2j}(n) - \frac{3316336128}{24599722121}p_{23}(n-1) \end{aligned}$$

(iii)

$$\begin{aligned}
 N_{14}(n) = & \frac{91}{138}(13 - 36n)N_{12}(n) \\
 & + (P_7(n) - \frac{91}{138}(13 - 36n))N_2(n) \\
 & + \sum_{j=1}^7 \tilde{Q}_{6,7}(n)M_{2j}(n)
 \end{aligned}$$

Here

$$\sum_{n=0}^{\infty} p_{23}(n)q^n = (q)_{\infty}^{23}$$

## └ CRANK AND RANK CONGRUENCES

## └ THE CRANK-RANK PDE AND RANK AND CRANK MOMENTS

*Proof* Since  $Y_{2k} \in PW_{2k}$ ,  $\mathcal{C}_{2k} \subset PW_{2k}$ ,  
 $|\mathcal{C}_{2k}| = \frac{1}{2}k(k+1) = \dim PW_{2k}$  for  $1 \leq k \leq 5$  there must be a non-trivial relation between  $Y_{2k}$  and the elements of  $\mathcal{C}_{2k}$ . Part (i) follows.

*Proof* Since  $Y_{2k} \in P\mathcal{W}_{2k}$ ,  $\mathcal{C}_{2k} \subset P\mathcal{W}_{2k}$ ,  
 $|\mathcal{C}_{2k}| = \frac{1}{2}k(k+1) = \dim P\mathcal{W}_{2k}$  for  $1 \leq k \leq 5$  there must be a  
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 follows.

Since  $\Delta = q \prod_{n=1}^{\infty} (1 - q^n)^{24} \in M_{12}(1)$

$$P\Delta = q \prod_{n=1}^{\infty} (1 - q^n)^{23} = \sum_{n=0}^{\infty} p_{23}(n) q^n \in P\mathcal{W}_{12}.$$

*Proof* Since  $Y_{2k} \in P\mathcal{W}_{2k}$ ,  $\mathcal{C}_{2k} \subset P\mathcal{W}_{2k}$ ,  $|\mathcal{C}_{2k}| = \frac{1}{2}k(k+1) = \dim P\mathcal{W}_{2k}$  for  $1 \leq k \leq 5$  there must be a non-trivial relation between  $Y_{2k}$  and the elements of  $\mathcal{C}_{2k}$ . Part (i) follows.

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Since  $Y_{12}$ ,  $P\Delta \in P\mathcal{W}_{12}$ ,  $\mathcal{C}_{12} \subset P\mathcal{W}_{12}$ ,  $|\mathcal{C}_{12}| = 21$  and  $\dim P\mathcal{W}_{12} = 22$  there is a non-trivial relation which gives (ii).

*Proof* Since  $Y_{2k} \in P\mathcal{W}_{2k}$ ,  $\mathcal{C}_{2k} \subset P\mathcal{W}_{2k}$ ,  $|\mathcal{C}_{2k}| = \frac{1}{2}k(k+1) = \dim P\mathcal{W}_{2k}$  for  $1 \leq k \leq 5$  there must be a non-trivial relation between  $Y_{2k}$  and the elements of  $\mathcal{C}_{2k}$ . Part (i) follows.

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**EXAMPLES** For  $n \geq 0$  we have

$$N_4(n) = \frac{2}{3} (-3n - 1) M_2(n) + \frac{8}{3} M_4(n) + (-12n + 1) N_2(n)$$

$$\begin{aligned} N_6(n) = & \frac{2}{33} (324n^2 + 69n - 10) M_2(n) + \frac{20}{33} (-45n + 4) M_4(n) \\ & + \frac{18}{11} M_6(n) + (108n^2 - 24n + 1) N_2(n) \end{aligned}$$

## $\ell$ -INTEGRAL QUASI-MODULAR FORMS

Let  $\ell > 3$  be prime. A rational  $\frac{m}{n}$  is  $\ell$ -integral if  $\ell \nmid n$ . A function is an  $\ell$ -integral quasi-modular form of weight  $k$  if it can be written as a sum of functions

$$E_2^a(\tau)F_b(\tau)$$

where  $F_b(\tau) \in M_b(1)$  has  $\ell$ -integral coefficients,  $a \geq 0$  and  $2a + b = k$ . For  $k$  a nonnegative even integer we let  $\mathcal{X}_k$  denote the set of functions that are sums of  $\ell$ -integral quasi-modular form of weight  $\leq k$ . Let

$$P\mathcal{X}_k = \{GP : G \in \mathcal{X}_k\}.$$

### Lemma (VON STAUDT-KUMMER)

- (i) If  $(\ell - 1) \mid 2n$  then  $\ell B_{2n} \equiv -1 \pmod{\ell}$ .
- (ii) If  $(\ell - 1) \nmid 2n$  then  $\frac{B_{2n}}{2n}$  is  $\ell$ -integral and its residue class mod  $\ell$  only depends on  $2n \pmod{\ell - 1}$ .

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### Corollary

Let  $\ell > 3$  be prime. Then the Eisenstein series  $E_2, \dots, E_{\ell-1}$  and  $E_{\ell+1}$  are  $\ell$ -integral and

$$E_{\ell-1} \equiv 1 \pmod{\ell}, \quad E_{\ell+1} \equiv E_2 \pmod{\ell}.$$

## Proposition

Let  $\ell > 3$  be prime.

- (i) For  $k \geq 0$  even we have  $\delta_q(\mathcal{X}_k) \subset \mathcal{X}_{k+2}$ .
- (ii) For  $k \geq 0$  even and  $m \geq 0$  we have  $\delta_q^m(P\mathcal{X}_k) \subset P\mathcal{X}_{k+2m}$ .
- (iii) For  $1 \leq j \leq \frac{\ell+1}{2}$  except  $j = \frac{\ell-1}{2}$  we have  $C_{2j} \in P\mathcal{X}_{2j}$ .
- (iv)  $C_{\ell-1} = 2P\Phi_{\ell-2} + PG$  for some  $G \in \mathcal{X}_{\ell-1}$ .
- (v) For  $1 \leq j \leq \frac{\ell-3}{2}$  we have  $Y_{2j} \in P\mathcal{X}_{2j}$ .
- (vi)  $Y_{\ell-1} = 6P\Phi_{\ell-2} + PG$  for some  $G \in \mathcal{X}_{\ell-1}$ .

### Theorem

Let  $\ell > 3$  be prime. Then for  $2 \leq k \leq \frac{\ell-3}{2}$  we have

$$R_{2k} - P_k(\delta_q)R_2 \in P\mathcal{X}_{2k}$$

Also,

$$R_{\ell-1} - P_{\frac{\ell-1}{2}}(\delta_q)R_2 = 2P\Phi_{\ell-2} + PG_R,$$

for some  $G_R \in \mathcal{X}_{\ell-1}$ .

## Theorem

*Let  $\ell > 3$  be prime. Then*

$$R_{\ell+1} - P_{\frac{\ell+1}{2}}(\delta_q)R_2 \in P\mathcal{X}_{\ell+1}.$$

## Theorem

Let  $\ell > 3$  be prime. Then

$$R_{\ell+1} - P_{\frac{\ell+1}{2}}(\delta_q)R_2 \in P\mathcal{X}_{\ell+1}.$$

For  $\ell > 3$  prime and  $\epsilon \in \{-1, 0, 1\}$  we define the operator  $U_{\epsilon, \ell}^*$  which acts on  $q$ -series by

$$U_{\epsilon, \ell}^* \left( \sum a(n)q^n \right) = \sum_{\left(\frac{1-24n}{\ell}\right)=\epsilon} a(n)q^n.$$

The following corollary follows from **POLYNOMIAL CONGRUENCE**.

### Corollary

*Let  $\ell > 3$  be prime and suppose  $\epsilon = -1$  or  $0$ . Then*

$$U_{\epsilon, \ell}^*(R_2) \equiv U_{\epsilon, \ell}^*(G_\ell P) \pmod{\ell}$$

*where  $G_\ell \in \mathcal{X}_{\ell+1}$ .*

## Theorem (BRINGMANN, G. and MAHLBURG)

Let  $\ell > 3$  be prime and suppose  $\beta_\ell$ ,  $r_\ell$ , and  $\lambda_\ell$  are defined EARLIER.  
Then

(i)

$$\sum_{n=0}^{\infty} N_2(\ell n + \beta_\ell) q^{24n+r_\ell} \equiv \eta^{r_\ell}(24\tau) G_{\ell,R,2}(24\tau) \pmod{\ell},$$

where  $G_{\ell,R,2}(\tau)$  is a sum of integral modular forms of weight  $\leq \frac{\ell(\ell+3)-r_\ell-1}{2}$ .

(ii) For  $2 \leq k \leq \frac{\ell-3}{2}$ ,

$$\sum_{n=0}^{\infty} N_{2k}(\ell n + \beta_\ell) q^{24n+r_\ell} \equiv c_k \sum_{n=0}^{\infty} N_2(\ell n + \beta_\ell) q^{24n+r_\ell} + \eta^{r_\ell}(24\tau) G_{\ell,R,2k}(24\tau) \pmod{\ell}.$$

where  $G_{\ell,R,2k}(\tau)$  is a sum of integral modular forms of weight  $\leq k(\ell+1) - 1 + \frac{1}{2}(\ell-r)$ , and  $c_k$  is some integer.

$$\begin{aligned}
 \text{(iii)} \quad & \sum_{n=0}^{\infty} N_{\ell-1}(\ell n + \beta_{\ell}) q^{24n+r_{\ell}} \\
 & \equiv c_{\ell-1} \sum_{n=0}^{\infty} N_2(\ell n + \beta_{\ell}) q^{24n+r_{\ell}} + \eta^{r_{\ell}}(24\tau) G_{\ell,R,\ell-1}(24\tau) \\
 & \quad + \frac{1}{\ell} \eta^{r_{\ell}}(24\tau) \left( H_{\ell,R,\ell-1}^{(1)}(24\tau) - H_{\ell,R,\ell-1}^{(2)}(24\tau) \right) \pmod{\ell}.
 \end{aligned}$$

where  $G_{\ell,R,\ell-1}(\tau)$  is a sum of integral modular forms of weight  $\leq \frac{\ell(\ell+1)-r_{\ell}-3}{2}$   $c_{\ell-1}$  is some integer, and  $H_{\ell,R,\ell-1}^{(1)}(\tau)$ ,  $H_{\ell,R,\ell-1}^{(2)}(\tau)$  are integral modular forms of weight  $\frac{\ell(\ell-1)-r_{\ell}-1}{2}$  and  $\frac{\ell(\ell+1)-r_{\ell}-3}{2}$  respectively such that

$$H_{\ell,R,\ell-1}^{(1)}(\tau) \equiv H_{\ell,R,\ell-1}^{(2)}(\tau) \pmod{\ell}.$$

**EXAMPLE**  $\ell = 11$ ,  $r_{11} = 13$ ,  $\lambda_{11} = 1$  and  $\beta_{11} = 6$ . According to Theorem ON SECOND RANK

$$R_{12} - P_6(\delta_q)R_2 \in P\mathcal{X}_{12},$$

and  $\dim P\mathcal{X}_{12} = 23$ .

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$$\sum_{n=0}^{\infty} N_2(11n+6)q^n \equiv E(q)^{13}G(\tau) \pmod{13}$$

for some sum of 13-integral modular forms of weight  $\leq 70$ .

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for some sum of 13-integral modular forms of weight  $\leq 70$ .

The result may be greatly simplified. INSTEAD we use part (ii)

CRANK RANK MOMENT RELATIONS THEOREM.

Reducing this mod 11 we find that

$$\begin{aligned} N_{12}(11n+6) &\equiv 6N_2(11n+6) + 5M_2(11n+6) + 4M_4(11n+6) \\ &\quad + 4M_6(11n+6) + 7M_8(11n+6) + 3M_{12}(11n+6) \\ &\quad + 7p_{23}(11n+5) \pmod{11} \end{aligned}$$

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and

$$-5N_2(11n+6) \equiv 7p_{23}(11n+5) \pmod{11}$$

$$\boxed{N_2(11n+6) \equiv 3p_{23}(11n+5) \pmod{11}}$$

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since

$$M(0, 11, 11n+6) = \cdots = M(10, 11, 11n+6)$$

$$\sum_{n=0}^{\infty} p_{23}(n)q^n = \prod_{n=1}^{\infty} (1-q^n)^{23} \equiv \prod_{n=1}^{\infty} (1-q^{11n})^2 \prod_{n=1}^{\infty} (1-q^n) \pmod{11}$$

$$\sum_{n=0}^{\infty} p_{23}(n)q^n = \prod_{n=1}^{\infty} (1-q^n)^{23} \equiv \prod_{n=1}^{\infty} (1-q^{11n})^2 \prod_{n=1}^{\infty} (1-q^n) \pmod{11}$$

$$\prod_{n=1}^{\infty} (1-q^n) = \sum_{n=-\infty}^{\infty} (-1)^n q^{n(3n-1)/2}$$

$$\sum_{n=0}^{\infty} p_{23}(n)q^n = \prod_{n=1}^{\infty} (1-q^n)^{23} \equiv \prod_{n=1}^{\infty} (1-q^{11n})^2 \prod_{n=1}^{\infty} (1-q^n) \pmod{11}$$

$$\prod_{n=1}^{\infty} (1-q^n) = \sum_{n=-\infty}^{\infty} (-1)^n q^{n(3n-1)/2}$$

$$n(3n-1)/2 \equiv 5 \pmod{11} \quad \text{if and only if} \quad n \equiv 2 \pmod{11}$$

$$\sum_{n=0}^{\infty} p_{23}(n)q^n = \prod_{n=1}^{\infty} (1-q^n)^{23} \equiv \prod_{n=1}^{\infty} (1-q^{11n})^2 \prod_{n=1}^{\infty} (1-q^n) \pmod{11}$$

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$$n(3n-1)/2 \equiv 5 \pmod{11} \quad \text{if and only if} \quad n \equiv 2 \pmod{11}$$

$$\sum_{n=0}^{\infty} p_{23}(11n+5)q^{11n+5} \equiv q^5 \prod_{n=1}^{\infty} (1-q^{11n})^2 \prod_{n=1}^{\infty} (1-q^{121n}) \pmod{11}$$

$$\begin{aligned}\sum_{n=0}^{\infty} p_{23}(11n+5)q^n &\equiv \prod_{n=1}^{\infty} (1-q^n)^2 \prod_{n=1}^{\infty} (1-q^{11n}) \pmod{11} \\ &\equiv \prod_{n=1}^{\infty} (1-q^n)^{13}\end{aligned}$$

$$\begin{aligned}\sum_{n=0}^{\infty} p_{23}(11n+5)q^n &\equiv \prod_{n=1}^{\infty} (1-q^n)^2 \prod_{n=1}^{\infty} (1-q^{11n}) \pmod{11} \\ &\equiv \prod_{n=1}^{\infty} (1-q^n)^{13}\end{aligned}$$

and therefore

$$\sum_{n=0}^{\infty} N_2(11n+6)q^n \equiv 3E(q)^{13} \pmod{11}$$

## Theorem

$$\sum_{n=0}^{\infty} N_2(11n+6) q^n \equiv 3E^{13}(q) \pmod{11},$$

$$\sum_{n=0}^{\infty} N_4(11n+6) q^n \equiv 7E^{13}(q) \pmod{11},$$

$$\sum_{n=0}^{\infty} N_6(11n+6) q^n \equiv E^{13}(q)(4 + E_4(\tau)) \pmod{11},$$

$$\sum_{n=0}^{\infty} N_8(11n+6) q^n \equiv E^{13}(q)(5 + 6E_4(\tau) + 6E_6(\tau)) \pmod{11},$$

$$\sum_{n=0}^{\infty} N_{10}(11n+6) q^n \equiv E^{13}(q)(5 + 4E_4(\tau) + 6E_6(\tau) + 6E_4^2(\tau)) \pmod{11}.$$

## Corollary

$$N(r, 11, 5^4 \cdot 11 \cdot 19^4 \cdot n + 4322599) \equiv 0 \pmod{11},$$

$$N(r, 11, 11^2 \cdot 19^4 \cdot n + 172904) \equiv 0 \pmod{11},$$

for all  $0 \leq r \leq 10$ .

## Corollary

$$N(r, 11, 5^4 \cdot 11 \cdot 19^4 \cdot n + 4322599) \equiv 0 \pmod{11},$$

$$N(r, 11, 11^2 \cdot 19^4 \cdot n + 172904) \equiv 0 \pmod{11},$$

for all  $0 \leq r \leq 10$ .

*Proof Sketch* For each  $r$  there exist integral constants  $a_r, b_r, c_r, d_r$  such that

$$\sum_{n=0}^{\infty} N(r, 11, \tfrac{1}{24}(11n+1))q^n \equiv \eta^{13}(24\tau)(a_r + b_r E_4(24\tau) + c_r E_6(24\tau) + d_r E_4^2(24\tau)) \pmod{11}.$$

Since

$$\dim M_0(1) = \dim M_4(1) = \dim M_6(1) = \dim M_8(1) = 1$$

By **EIGENFORM COROLLARY** each of the functions

$$\eta^{13}(24\tau), \quad \eta^{13}(24\tau)E_4(24\tau), \quad \eta^{13}(24\tau)E_6(24\tau), \quad \eta^{13}(24\tau)E_4^2(24\tau),$$

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is a Hecke eigenform in its corresponding space. The first result follows from finding the relevant 0 (mod 11) common eigenvalues.