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Ramanujan's Ranks,  
Mock Theta Functions, and Beyond  
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LECTURE 6 (under construction)  
TRANSFORMATION PROPERTIES FOR DYSON'S RANK  
FUNCTION  
(Includes joint work with Rishabh Sarma)



## INTRO

Building Blocks of Generalized Eta-Products

Symmetry for  $\mathcal{K}_{p,0}(\zeta_p, z)$

SKETCH OF THE PROOF OF MAIN SYMMETRY RESULT  
THEOREM

We begin with a quote from Dyson :

*“The mock theta-functions give us tantalizing hints of a grand synthesis still to be discovered. Somehow it should be possible to build them into a coherent group-theoretical structure, analogous to the structure of modular forms which Hecke built around the old theta-functions of Jacobi. This remains a challenge for the future.”*

As noted in Lecture 5, all of Ramanujan's third order mock theta functions can be written in terms of the Dyson's rank function

$$R(z, q) = 1 + \sum_{n=1}^{\infty} \frac{q^{n^2}}{(zq, z^{-1}q; q)_n}.$$

For example,

$$f(q) = \sum_{n=0}^{\infty} \frac{q^{n^2}}{(-q; q)_n^2} = R(-1, q),$$

$$\chi(q) = 1 + \frac{q}{1 - q + q^2} + \frac{q^4}{(1 - q + q^2)(1 - q^2 + q^4)} + \cdots = R(\zeta_6, q).$$

In 2010, in addressing Dyson's challenge, Bringmann and Ono studied transformation properties for  $R(\zeta, q)$  when  $\zeta$  is a root of unity. In LECTURE 2, we discussed the identity for  $R(\zeta_5, q)$  from Ramanujan Lost Notebook, when  $\zeta_5$  is a primitive 5-th root of unity. We rewrite the identity in terms of generalized eta-products :

$$\begin{aligned} & q^{-\frac{1}{24}} (R(\zeta_5, q) - (\zeta_5 + \zeta_5^4 - 2) \phi(q^5) + (1 + 2\zeta_5 + 2\zeta_5^4) q^{-2} \psi(q^5)) \\ &= \frac{\eta(25z) \eta_{5,2}(5z)}{\eta_{5,1}(5z)^2} + \frac{\eta(25z)}{\eta_{5,1}(5z)} + (\zeta_5 + \zeta_5^4) \frac{\eta(25z)}{\eta_{5,2}(5z)} - (\zeta_5 + \zeta_5^4) \frac{\eta(25z) \eta_5}{\eta_{5,2}(5z)} \end{aligned}$$

where

The identity can be generalized to all primes  $p > 3$ .

Let  $p > 3$  be prime and  $1 \leq a \leq \frac{p-1}{2}$ . Define

$$\Phi_{p,a}(q) := \begin{cases} \sum_{n=0}^{\infty} \frac{q^{pn^2}}{(q^a; q^p)_{n+1} (q^{p-a}; q^p)_n}, & \text{if } 0 < 6a < p, \\ -1 + \sum_{n=0}^{\infty} \frac{q^{pn^2}}{(q^a; q^p)_{n+1} (q^{p-a}; q^p)_n}, & \text{if } p < 6a < 3p, \end{cases}$$

and

$$\mathcal{R}_p(\zeta_p, z)$$

$$:= q^{-\frac{1}{24}} R(\zeta_p, q) - \left(\frac{12}{p}\right) \sum_{a=1}^{\frac{1}{2}(p-1)} (-1)^a \left( \zeta_p^{3a+\frac{1}{2}(p+1)} + \zeta_p^{-3a-\frac{1}{2}(p+1)} - \zeta_p^{3a+\frac{1}{2}(p-1)} - \zeta_p^{-3a-\frac{1}{2}(p-1)} \right) q^{\frac{a}{2}(p-3a)-\frac{p^2}{24}} \Phi_{p,a}(q^p).$$

### Theorem (G., 2017)

*Let  $p > 3$  be prime. Then the function*

$$\eta(p^2 z) \mathcal{R}_p(z)$$

*is a weakly holomorphic modular form of weight 1 on the group  $\Gamma_0(p^2) \cap \Gamma_1(p)$ .*



In 2010, Bringmann and Ono proved

### Theorem (Bringmann and Ono)

If  $c$  is odd,  $0 < a < c$  then

$$q^{-\frac{\ell}{24}} R\left(\zeta_c^a; q^\ell\right) + i \sin\left(\frac{\pi a}{c}\right) \sqrt{\frac{\ell}{3}} \int_{-\bar{z}}^{i\infty} \frac{\Theta\left(\frac{a}{c}; \ell\tau\right) d\tau}{\sqrt{-i(\tau+z)}}$$

is a harmonic Maass form of weight  $1/2$  on  $\Gamma_1(144f^2\ell')$ , where  $\Theta\left(\frac{a}{c}; \ell\tau\right)$  is a sum of certain weight  $3/2$  theta functions,  $\ell = \text{lcm}(2c^2, 24)$ ,  $\ell' = 1/24$ ,  $f = \frac{2c}{\text{gcd}(c,6)}$ .

For  $c$  odd,  $0 < a < c$ , define

$$\mathcal{G}_1\left(\frac{a}{c}; z\right) = \csc\left(\frac{\pi a}{c}\right) q^{-\frac{1}{24}} R(\zeta_c^a; q) + \frac{i}{\sqrt{3}} \int_{-\bar{z}}^{i\infty} \frac{\Theta\left(\frac{a}{c}; \tau\right) d\tau}{\sqrt{-i(\tau + z)}}.$$

Then Bringmann and Ono proved that  $\mathcal{G}_1\left(\frac{a}{c}; z\right)$  is one component of a vector-valued harmonic Maass form of weight  $1/2$  on  $SL_2(\mathbb{Z})$ . In 2017, the speaker corrected one of the components  $\mathcal{G}_2\left(\frac{a}{c}; z\right)$ . This means that  $q^{-\frac{1}{24}} R(\zeta_c^a; q)$  is a mock modular form of weight  $1/2$ . Zagier later showed that the result is true for all general  $\zeta_c$ .

### Theorem (G.)

Let  $p > 3$  be prime and  $s_p = \frac{1}{24}(p^2 - 1)$ . Then the function

$$(q^p; q^p)_\infty \sum_{n=\lceil \frac{1}{p}(s_p) \rceil}^{\infty} \left( \sum_{k=0}^{p-1} N(k, p, pn - s_p) \zeta_p^k \right) q^n$$

is a weakly holomorphic modular form of weight 1 on  $\Gamma_1(p)$ .

**REMARK** This improves a result of Ahlgren and Treener (2008) who were confined to the group  $\Gamma_1(576.p^4)$ .

We consider all components of the  $p$ -dissection of the rank function  $R(\zeta_p, q)$ .

**DEFINITION** For  $p > 3$  prime,  $0 \leq m \leq p - 1$  and  $1 \leq d \leq p - 1$ , define  $\mathcal{K}_{p,m}(\zeta_p^d; z)$  as follows :

(i) For  $m = 0$  or  $\left(\frac{-24m}{p}\right) = -1$  define

$$\mathcal{K}_{p,m}(\zeta_p^d; z) := q^{m/p} \prod_{n=1}^{\infty} (1 - q^{pn}) \sum_{n=\lceil \frac{1}{p}(s_p - m) \rceil}^{\infty} \left( \sum_{k=0}^{p-1} N(k, p, pn + m - s_p) \zeta_p^{kd} \right) q^n,$$

where  $s_p = \frac{1}{24}(p^2 - 1)$ , and  $q = \exp(2\pi iz)$ .

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where  $s_p = \frac{1}{24}(p^2 - 1)$ , and  $q = \exp(2\pi iz)$ .

(ii) For  $\left(\frac{-24m}{p}\right) = 1$  define

$$\mathcal{K}_{p,m}(\zeta_p^d; z) := q^{m/p} \prod_{n=1}^{\infty} (1 - q^{pn})$$

$$\times \left( \sum_{n=\lceil \frac{1}{p}(s_p-m) \rceil}^{\infty} \left( \sum_{k=0}^{p-1} N(k, p, pn + m - s_p) \zeta_p^{kd} \right) q^n \right)$$

$$- 4\chi_{12}(p) (-1)^{a+d+1} \sin\left(\frac{d\pi}{p}\right) \sin\left(\frac{6ad\pi}{p}\right) q^{\frac{1}{p}(\frac{a}{2}(p-3a)-m)} \Phi_{p,a}(q)$$

where  $1 \leq a \leq \frac{1}{2}(p-1)$  has been chosen so that

$$-24m \equiv (6a)^2 \pmod{p}.$$

(ii) For  $\left(\frac{-24m}{p}\right) = 1$  define

$$\begin{aligned} \mathcal{K}_{p,m}(\zeta_p^d; z) &:= q^{m/p} \prod_{n=1}^{\infty} (1 - q^{pn}) \\ &\times \left( \sum_{n=\lceil \frac{1}{p}(s_p - m) \rceil}^{\infty} \left( \sum_{k=0}^{p-1} N(k, p, pn + m - s_p) \zeta_p^{kd} \right) q^n \right. \\ &\left. - 4\chi_{12}(p) (-1)^{a+d+1} \sin\left(\frac{d\pi}{p}\right) \sin\left(\frac{6ad\pi}{p}\right) q^{\frac{1}{p}(\frac{a}{2}(p-3a)-m)} \Phi_{p,a}(q) \right), \end{aligned}$$

where  $1 \leq a \leq \frac{1}{2}(p-1)$  has been chosen so that

$$-24m \equiv (6a)^2 \pmod{p}.$$

### Theorem (G.)

Suppose  $p > 3$  is prime, and  $0 \leq m \leq p - 1$ . Then

- (i)  $\mathcal{K}_{p,0}(\zeta_p, z)$  is a weakly holomorphic modular form of weight 1 on  $\Gamma_1(p)$ .
- (ii) For  $1 \leq m \leq (p - 1)$ ,  $\mathcal{K}_{p,m}(\zeta_p, z)$  is a weakly holomorphic modular form of weight 1 on  $\Gamma(p)$ , and

$$\mathcal{K}_{p,m}(\zeta_p, z) | [A]_1 = \exp\left(\frac{2\pi ibm}{p}\right) \mathcal{K}_{p,m}(\zeta_p, z)$$

$$\text{for } A = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma_1(p).$$



**DEFINITION** The weight  $k$  stroke operator is defined as

$$F(z) | [A]_k := (\det(A))^{k/2} (cz + d)^{-k} F(Az),$$

$$\text{for } A = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{GL}_2^+(\mathbb{Z}).$$

In joint work with Rishabh Sarma, we have extended this theorem to  $\Gamma_0(p)$ .

### Theorem (G. and Sarma)

Suppose  $p > 3$  is prime,  $0 \leq m \leq p - 1$ , and  $1 \leq d \leq p - 1$ . Then

$$\mathcal{K}_{p,m}(\zeta_p, z) | [A]_1 = \frac{\sin(\pi/p)}{\sin(d\pi/p)} (-1)^{d+1} \exp\left(\frac{2\pi imab}{p}\right) \mathcal{K}_{p, \overline{ma^2}}(\zeta_p^d, z),$$

if  $A = \begin{pmatrix} a & b \\ p & d \end{pmatrix} \in \Gamma_0(p)$ . Here  $\overline{ma^2}$  means reduction of  $ma^2$  (mod  $p$ ).

**PROBLEM** Find identities for  $\mathcal{K}_{p,m}(\zeta_p, z)$  in terms of generalized eta-functions. This means we want weight one functions on  $\Gamma(p)$  that satisfy the transformation property in Theorem (ii) (p.6) for  $A \in \Gamma_1(p)$ . As building blocks, we use the functions  $\eta(pz)$  and Biagioli's

$f_{p,r}(z) = \eta(pz)\eta_{p,r}(z) = (-1)^{\lfloor r/p \rfloor} q^{(p-2r)^2/8p} (q^r; q^p)_\infty (q^{p-r}; q^p)_\infty (q^p; q^p)_\infty$   
for  $p, r \in \mathbb{Z}, p \geq 1$  and  $p \nmid r$ . These functions satisfy

$$f_{p,r}(z) = f_{p,p+r}(z) = f_{p,-r}(z)$$

and

$$f_{p,r}(Az) = (-1)^{rb + \lfloor ra/p \rfloor + \lfloor r/p \rfloor} \exp\left(\frac{\pi i ab}{p} r^2\right) \nu_{\theta_1}(pA) \sqrt{cz+d} f_{p,ra}(z)$$

for

$$A = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma_0(p), pA = \begin{pmatrix} a & pb \\ c/p & d \end{pmatrix},$$

and  $\nu_{\theta_1} = \nu_\eta^3$  where  $\nu_\eta$  is the eta-multiplier.

## Building Blocks of Generalized Eta-Products

For  $\vec{n} = (n_0, n_1, n_2, \dots, n_{\frac{p-1}{2}}) \in \mathbb{Z}^{\frac{1}{2}(p+1)}$ , we define

$$j(p, \vec{n}, z) = \eta(pz)^{n_0} \prod_{k=1}^{\frac{p-1}{2}} f_{p,k}(z)^{n_k}.$$

### Theorem

Let  $p > 3$  be prime,  $0 \leq m \leq p-1$ . Then  $j(p, \vec{n}, z)$  is a weakly holomorphic modular form of weight 1 on  $\Gamma(p)$  and satisfies

$$j(p, \vec{n}, z) | [A]_1 = \exp\left(\frac{2\pi ibm}{p}\right) j(p, \vec{n}, z)$$

for  $A = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma_1(p)$  provided the following conditions are met  
:

$$(i) \quad n_0 + \sum_{k=1}^{\frac{p-1}{2}} n_k = 2,$$

$$(ii) \quad n_0 + 3 \sum_{k=1}^{\frac{p-1}{2}} n_k \equiv 0 \pmod{24},$$

$$(iii) \quad \sum_{k=1}^{\frac{p-1}{2}} k^2 n_k \equiv 2m \pmod{p}.$$

**DEFINITION** Let  $\mathcal{F}(m, p)$  be the set of functions  $j(p, \vec{n}, z)$  satisfying the conditions in this theorem.

We need to know how  $\Gamma_0(p)$  acts on the functions in  $\mathcal{F}(m, p)$ .

### Theorem

Let  $p > 3$  be prime,  $0 \leq m \leq p - 1$ . Suppose  $j(p, \vec{n}, z) \in \mathcal{F}(m, p)$  and  $1 \leq a \leq \frac{p-1}{2}$ . Choose  $1 \leq d \leq p - 1$  so that

$A = \begin{pmatrix} a & b \\ p & d \end{pmatrix} \in \Gamma_0(p)$ . Then,

$$j(p, \vec{n}, z) \mid [A]_1$$

$$= (-1)^{L(\vec{n}, a, b, p)} \exp\left(\frac{2\pi i abm}{p}\right) \eta(pz)^{n_0} \prod_{k=1}^{\frac{p-1}{2}} f_{p, ka}(z)^{n_k},$$

$$\text{where } L(\vec{n}, a, b, p) = a(b+1) \sum_{k=1}^{\frac{p-1}{2}} kn_k + \sum_{k=1}^{\frac{p-1}{2}} \left\lfloor \frac{ka}{p} \right\rfloor n_k.$$

**A PERMUTATION** Let  $p > 3$  be prime and

$\vec{n} = (n_0, n_1, n_2, \dots, n_{\frac{1}{2}(p-1)}) \in \mathbb{Z}^{\frac{p+1}{2}}$ , and let  $1 \leq a \leq \frac{1}{2}(p-1)$ .

Let  $\pi_a$  be the permutation  $\pi_a : [\frac{1}{2}(p-1)] \rightarrow [\frac{1}{2}(p-1)]$ , where  $[\frac{1}{2}(p-1)] = \{1, 2, \dots, \frac{1}{2}(p-1)\}$  defined by  $\pi_a(i) = i'$  where  $ai' \equiv \pm i \pmod{p}$ .  $\pi_a$  induces a permutation on  $\mathbb{Z}^{\frac{1}{2}(p+1)}$ :

$$\pi_a(\vec{n}) = \left( n_0, n_{\pi_a(1)}, n_{\pi_a(2)}, \dots, n_{\pi_a(\frac{1}{2}(p-1))} \right),$$

so that

$$j(p, \pi_a(\vec{n}), z) = \eta(pz)^{n_0} \prod_{k=1}^{\frac{p-1}{2}} f_{p,ak}(z)^{n_k}.$$



A previous theorem leads to symmetry for  $\mathcal{K}_{p,m}(\zeta_p, z)$  functions.

### Theorem

Let  $p > 3$  be prime and  $0 \leq m \leq p - 1$ . Suppose there is a set  $\mathcal{B}$  of  $\vec{n}$ -vectors such that  $\{j(p, \vec{n}, z) : \vec{n} \in \mathcal{B}\}$  is linearly independent (over  $\mathbb{Q}$ ) and

$$\mathcal{K}_{p,m}(\zeta_p, z) = \sum_{\vec{n} \in \mathcal{B}} c(\vec{n}, \zeta_p) j(p, \vec{n}, z),$$

where the  $c(\vec{n}, \zeta_p) \in \mathbb{Q}[\zeta_p]$ . Then for  $1 \leq a \leq \frac{p-1}{2}$ , we have

$$\mathcal{K}_{p, \overline{ma^2}}(\zeta_p, z) = \sum_{\vec{n} \in \mathcal{B}} (-1)^{L(\vec{n}, a, b, p) + a + d} \frac{\sin(\pi/p)}{\sin(a\pi/p)} c(\vec{n}, \zeta_p^a) j(p, \pi_a(\vec{n}), z)$$

where  $1 \leq d \leq p - 1$  and  $ad \equiv 1 \pmod{p}$ .

**Examples** (1)  $p = 5$ . From Ramanujan's 5-dissection of  $R(\zeta_5, q)$  we have

$$\mathcal{K}_{5,0}(\zeta_5, z) = 0,$$

$$\mathcal{K}_{5,1}(\zeta_5, z) = \frac{\eta(5z)^2 \eta_{5,2}(z)}{\eta_{5,1}(z)^2} = j(5, [3, -2, 1], z),$$

$$\mathcal{K}_{5,2}(\zeta_5, z) = \frac{\eta(5z)^2}{\eta_{5,1}(z)} = j(5, [3, -1, 0], z),$$

$$\mathcal{K}_{5,3}(\zeta_5, z) = (\zeta_5 + \zeta_5^4) \frac{\eta(5z)^2}{\eta_{5,2}(z)} = (\zeta_5 + \zeta_5^4) j(5, [3, 0, -1], z),$$

$$\mathcal{K}_{5,4}(\zeta_5, z) = -(\zeta_5 + \zeta_5^4) \frac{\eta(5z)^2 \eta_{5,1}(z)}{\eta_{5,2}(z)^2} = -(\zeta_5 + \zeta_5^4) j(5, [3, 1, -2], z).$$

Let  $\vec{n} = [3, -2, 1]$ ,  $p = 5$ ,  $a = 2$ ,  $b = 1$ ,  $d = 3$ . Now,

$$L(\vec{n}, a, b, p) = 4,$$

$$\frac{\sin(\frac{\pi}{p})}{\sin(\frac{a\pi}{p})} = \frac{\sin(\frac{\pi}{5})}{\sin(\frac{2\pi}{5})} = \zeta_5 + \zeta_5^4,$$

$$\pi_a(\vec{n}) = \pi_2[3, -2, 1] = [3, 1, -2].$$

Since

$$\mathcal{K}_{5,1}(\zeta_5, z) = j(5, [3, -2, 1], z),$$

we have

$$\begin{aligned} \mathcal{K}_{5,4}(\zeta_5, z) &= (-1)^{L(\vec{n}, a, b, p) + a + d} \frac{\sin(\pi/p)}{\sin(a\pi/p)} c(\vec{n}, \zeta_p^a) j(p, \pi_a(\vec{n}), z) \\ &= -(\zeta_5 + \zeta_5^4) j(5, [3, 1, -2], z), \end{aligned}$$

which confirms that  $\mathcal{K}_{5,4}(\zeta_5, z) = -(\zeta_5 + \zeta_5^4) \frac{\eta(5z)^2 \eta_{5,1}(z)}{\eta_{5,2}(z)^2}$ .

(2)  $p = 7$ . In the Lost Notebook, Ramanujan was close to finding the 7-dissection of  $R(\zeta_7, q)$ .

$$\begin{aligned}
 & R_7(z) \\
 &= q^{-\frac{1}{24}} \left( R(\zeta_7, q) + (\zeta_7 + \zeta_7^6 - 2) \phi_{7,1}(q^7) + (-\zeta_7^2 + \zeta_7^3 + \zeta_7^4 - \zeta_7^5) q^{-1} \phi_{7,2}(q^7) \right. \\
 &\quad \left. + (1 + 2\zeta_7^2 + \zeta_7^3 + \zeta_7^4 + 2\zeta_7^5) q^{-5} \phi_{7,3}(q^7) \right) \\
 &= (-1 + \zeta_7 + \zeta_7^6) \frac{\eta(49z) \eta_{7,3}(7z)}{\eta_{7,1}(7z) \eta_{7,2}(7z)} + \frac{\eta(49z)}{\eta_{7,1}(7z)} + (\zeta_7 + \zeta_7^6) \frac{\eta(49z) \eta_{7,2}(7z)}{\eta_{7,1}(7z) \eta_{7,3}(7z)} \\
 &+ (1 + \zeta_7^2 + \zeta_7^5) \frac{\eta(49z)}{\eta_{7,2}(7z)} - (\zeta_7^2 + \zeta_7^5) \frac{\eta(49z)}{\eta_{7,3}(7z)} - (1 + \zeta_7^3 + \zeta_7^4) \frac{\eta(49z) \eta_{7,1}(7z)}{\eta_{7,2}(7z) \eta_{7,3}(7z)}
 \end{aligned}$$

This implies that

$$\mathcal{K}_{7,0}(\zeta_7, z) = 0,$$

$$\mathcal{K}_{7,1}(\zeta_7, z) = -(1 + \zeta_7^3 + \zeta_7^4) \frac{\eta(7z)^2 \eta_{7,1}(z)}{\eta_{7,2}(z) \eta_{7,3}(z)},$$

$$\mathcal{K}_{7,2}(\zeta_7, z) = (-1 + \zeta_7 + \zeta_7^6) \frac{\eta(7z)^2 \eta_{7,3}(z)}{\eta_{7,1}(z) \eta_{7,2}(z)},$$

$$\mathcal{K}_{7,3}(\zeta_7, z) = \frac{\eta(7z)^2}{\eta_{7,1}(z)},$$

$$\mathcal{K}_{7,4}(\zeta_7, z) = (\zeta_7 + \zeta_7^6) \frac{\eta(7z)^2 \eta_{7,2}(z)}{\eta_{7,1}(z) \eta_{7,3}(z)},$$

$$\mathcal{K}_{7,5}(\zeta_7, z) = (1 + \zeta_7^2 + \zeta_7^5) \frac{\eta(7z)^2}{\eta_{7,2}(z)},$$

$$\mathcal{K}_{7,6}(\zeta_7, z) = -(\zeta_7^2 + \zeta_7^5) \frac{\eta(7z)^2}{\eta_{7,3}(z)}.$$

Let  $\vec{n} = [3, 1, -1, -1]$ ,  $p = 7$ ,  $a = 2$ ,  $b = 1$ ,  $d = 4$ ,  $c(\vec{n}, \zeta_p) = -(1 + \zeta_7^3 + \zeta_7^4)$ . Now,

$$L(\vec{n}, a, b, p) = -7,$$

$$\frac{\sin(\frac{\pi}{p})}{\sin(\frac{a\pi}{p})} = \frac{\sin(\frac{\pi}{7})}{\sin(\frac{2\pi}{7})} = 1 + \zeta_7^2 + \zeta_7^5,$$

$$c(\vec{n}, \zeta_p^a) = -(1 + \zeta_7^6 + \zeta_7).$$

Since

$$\mathcal{K}_{7,1}(\zeta_7, z) = -(1 + \zeta_7^3 + \zeta_7^4)j(7, [3, 1, -1, -1], z),$$

we have

$$\begin{aligned} \mathcal{K}_{7,4}(\zeta_7, z) &= (-1)^{L(\vec{n}, a, b, p) + a + d} \frac{\sin(\pi/p)}{\sin(a\pi/p)} c(\vec{n}, \zeta_p^a) j(p, \pi_a(\vec{n}), z) \\ &= (-1)^{-7+2+4} (1 + \zeta_7^2 + \zeta_7^5) (-1) (1 + \zeta_7 + \zeta_7^6) j(7, [3, -1, 1, -1], z) \\ &= (\zeta_7 + \zeta_7^6) j(7, [3, -1, 1, -1], z) \end{aligned}$$

## Symmetry for $\mathcal{K}_{p,0}(\zeta_p, z)$

### Theorem

Let  $p > 3$  be prime. Suppose there are  $t$  vectors  $\vec{n}_1, \vec{n}_2, \dots, \vec{n}_t \in \mathbb{Z}^{\frac{1}{2}(p+1)}$  such that the set of functions  $j(p, \pi_r(\vec{n}_k), z)$ ,  $1 \leq k \leq t$ ,  $1 \leq r \leq \frac{1}{2}(p-1)$  are linearly independent (over  $\mathbb{Q}$ ) and

$$\mathcal{K}_{p,0}(\zeta_p, z) = \sum_{k=1}^t \sum_{r=1}^{\frac{1}{2}(p-1)} c_{p,r,k}(\zeta_p) j(p, \pi_r(\vec{n}_k), z),$$

where  $c_{p,r,k}(\zeta_p) \in \mathbb{Q}[\zeta_p]$ . Then for  $1 \leq d \leq \frac{p-1}{2}$ , and  $\vec{n} = (n_0, n_1, n_2, \dots, n_{\frac{p-1}{2}})$ , we have

$$c_{p,d,k}(\zeta_p) = \frac{\sin(\pi/p)}{\sin(d\pi/p)} (-1)^{d+1+L(\vec{n},d)} c_{p,1,k}(\zeta_p^d)$$

**Example** ( $p=11$ ) Atkin and Hussain (1958) derived identities for rank mod 11 by the method of the earlier paper by Atkin and Swinnerton-Dyer (1953). It turns out that

$$\begin{aligned} \mathcal{K}_{11,0}(\zeta_{11}, z) &= (q^{11}; q^{11})_{\infty} \sum_{n=1}^{\infty} \left( \sum_{k=0}^{10} N(k, 11, 11n-5) \zeta_{11}^k \right) q^n \\ &= \sum_{r=1}^5 c_{11,r}(\zeta_{11}) j(11, \pi_r(\vec{n}), z), \end{aligned}$$

where  $\vec{n} = [5, 0, 0, 0, -1, -2]$ , and

$$c_{11,1} = 1 + 2(\zeta_{11}^2 + \zeta_{11}^9) + 2(\zeta_{11}^3 + \zeta_{11}^8) + (\zeta_{11}^4 + \zeta_{11}^7),$$

$$c_{11,2} = -(1 + (\zeta_{11}^2 + \zeta_{11}^9) + (\zeta_{11}^3 + \zeta_{11}^8) + 2(\zeta_{11}^4 + \zeta_{11}^7) + (\zeta_{11}^5 + \zeta_{11}^6)),$$

$$c_{11,3} = 3 + 2(\zeta_{11}^3 + \zeta_{11}^8) + 2(\zeta_{11}^4 + \zeta_{11}^7),$$

$$c_{11,4} = 4 + 2(\zeta_{11}^2 + \zeta_{11}^9) + (\zeta_{11}^3 + \zeta_{11}^8) + 2(\zeta_{11}^4 + \zeta_{11}^7) + 2(\zeta_{11}^5 + \zeta_{11}^6),$$

$$c_{11,5} = -(3 + (\zeta_{11}^2 + \zeta_{11}^9) + 2(\zeta_{11}^3 + \zeta_{11}^8) - (\zeta_{11}^4 + \zeta_{11}^7) + 2(\zeta_{11}^5 + \zeta_{11}^6)),$$



**Example** ( $p=11$ ) Atkin and Hussain (1958) derived identities for rank mod 11 by the method of the earlier paper by Atkin and Swinnerton-Dyer (1953). It turns out that

$$\begin{aligned} \mathcal{K}_{11,0}(\zeta_{11}, z) &= (q^{11}; q^{11})_{\infty} \sum_{n=1}^{\infty} \left( \sum_{k=0}^{10} N(k, 11, 11n-5) \zeta_{11}^k \right) q^n \\ &= \sum_{r=1}^5 c_{11,r}(\zeta_{11}) j(11, \pi_r(\vec{n}), z), \end{aligned}$$

where  $\vec{n} = [5, 0, 0, 0, -1, -2]$ , and

$$c_{11,1} = 1 + 2(\zeta_{11}^2 + \zeta_{11}^9) + 2(\zeta_{11}^3 + \zeta_{11}^8) + (\zeta_{11}^4 + \zeta_{11}^7),$$

$$c_{11,2} = -(1 + (\zeta_{11}^2 + \zeta_{11}^9) + (\zeta_{11}^3 + \zeta_{11}^8) + 2(\zeta_{11}^4 + \zeta_{11}^7) + (\zeta_{11}^5 + \zeta_{11}^6)),$$

$$c_{11,3} = 3 + 2(\zeta_{11}^3 + \zeta_{11}^8) + 2(\zeta_{11}^4 + \zeta_{11}^7),$$

$$c_{11,4} = 4 + 2(\zeta_{11}^2 + \zeta_{11}^9) + (\zeta_{11}^3 + \zeta_{11}^8) + 2(\zeta_{11}^4 + \zeta_{11}^7) + 2(\zeta_{11}^5 + \zeta_{11}^6),$$

$$c_{11,5} = -(3 + (\zeta_{11}^2 + \zeta_{11}^9) + 2(\zeta_{11}^3 + \zeta_{11}^8) - (\zeta_{11}^4 + \zeta_{11}^7) + 2(\zeta_{11}^5 + \zeta_{11}^6)),$$

We find that

$$c_{11,1} = 1 + 2(\zeta_{11}^2 + \zeta_{11}^9) + 2(\zeta_{11}^3 + \zeta_{11}^8) + (\zeta_{11}^4 + \zeta_{11}^7),$$

$$c_{11,2} = -\frac{\sin(\pi/11)}{\sin(2\pi/11)}(1 + 2(\zeta_{11}^4 + \zeta_{11}^7) + 2(\zeta_{11}^5 + \zeta_{11}^6) + (\zeta_{11}^3 + \zeta_{11}^8))$$

$$c_{11,3} = -\frac{\sin(\pi/11)}{\sin(3\pi/11)}(1 + 2(\zeta_{11}^5 + \zeta_{11}^6) + 2(\zeta_{11}^2 + \zeta_{11}^9) + (\zeta_{11} + \zeta_{11}^{10}))$$

$$c_{11,4} = \frac{\sin(\pi/11)}{\sin(4\pi/11)}(1 + 2(\zeta_{11}^3 + \zeta_{11}^8) + 2(\zeta_{11}^{10} + \zeta_{11}) + (\zeta_{11}^6 + \zeta_{11}^5)),$$

$$c_{11,5} = -\frac{\sin(\pi/11)}{\sin(5\pi/11)}(1 + 2(\zeta_{11} + \zeta_{11}^{10}) + 2(\zeta_{11}^7 + \zeta_{11}^4) + (\zeta_{11}^2 + \zeta_{11}^9))$$

## SKETCH OF THE PROOF OF MAIN SYMMETRY RESULT THEOREM

We need to define the functions  $\mathcal{F}_1\left(\frac{\ell}{p}; z\right)$  and  $\mathcal{F}_2\left(\frac{\ell}{p}; z\right)$ . The definitions are quite technical and rely on results of Bringmann and Ono (2010) and Garvan (2019). Assume  $0 < a < c$  are integers,  $q = \exp(2\pi iz)$ .

$$M\left(\frac{a}{c}; z\right) := \frac{1}{(q; q)_{\infty}} \sum_{n=-\infty}^{\infty} \frac{(-1)^n q^{n+\frac{a}{c}}}{1 - q^{n+\frac{a}{c}}} q^{\frac{3}{2}n(n+1)},$$

$$N\left(\frac{a}{c}; z\right) := \frac{1}{(q; q)_{\infty}} \left( 1 + \sum_{n=1}^{\infty} \frac{(-1)^n (1 + q^n) (2 - 2 \cos(\frac{2\pi a}{c}))}{1 - 2 \cos(\frac{2\pi a}{c}) q^n + q^{2n}} \right) q^{\frac{1}{2}n(3n+1)}$$

We note that

$$R(\zeta_c^a, q) = N\left(\frac{a}{c}; z\right)$$

and

$$\sum_{n=0}^{\infty} \frac{q^{cn^2}}{(q^a; a^c)_{n+1} (q^{c-a}; q^c)_n} = 1 + q^a M\left(\frac{a}{c}; cz\right).$$

We define

$$\mathcal{N}\left(\frac{a}{p}; c\right) z := \csc\left(\frac{a\pi}{c}\right) q^{-\frac{1}{24}} N\left(\frac{a}{c}; z\right),$$

$$\mathcal{M}\left(\frac{a}{p}; c\right) z := 2q^{\frac{3a}{2c}\left(1-\frac{a}{c}\right)-\frac{1}{24}} M\left(\frac{a}{c}; z\right).$$

We also need various weight 3/2 theta functions. For  $0 \leq k < N$  define

$$\tilde{\theta}(k, N; z) := \sum_{m=-\infty}^{\infty} (Nm + k) \exp\left(\frac{\pi iz}{N}(Nm + k)^2\right),$$

$$\Theta_1(a, b, c; z) := \zeta_{c^2}^{3ab} \zeta_{2c}^{-a} \sum_{m=0}^{6c-1} (-1)^m \sin\left(\frac{\pi}{3}(2m+1)\right) \exp\left(\frac{-2\pi ima}{c}\right) \tilde{\theta}(\dots)$$

and

$$\Theta_2(a, b, c; z) := \sum_{\ell=0}^{2c-1} \left( (-1)^\ell \exp\left(\frac{-\pi ib}{c}(6\ell+1)\right) \tilde{\theta}(6c\ell+6a+c, 12c^2; z) \right. \\ \left. + (-1)^\ell \exp\left(\frac{-\pi ib}{c}(6\ell-1)\right) \tilde{\theta}(6c\ell+6a-c, 12c^2; z) \right)$$

for  $0 \leq a, b < c$ . Also

$$\Theta_1\left(\frac{a}{c}; z\right) = -\frac{i}{2c} \Theta_2(0, -a, c; z) = \sum_{n=-\infty}^{\infty} (-1)^n (6n+1) \sin\left(\frac{\pi a(6n+1)}{c}\right) z^{n^2}$$

We also need some period integrals of theta functions :

$$T_1\left(\frac{a}{c}; z\right) := -\frac{i}{\sqrt{3}} \int_{-\bar{z}}^{i\infty} \frac{\Theta_1\left(\frac{a}{c}; \tau\right)}{\sqrt{-i(\tau+z)}} d\tau,$$
$$T_2\left(\frac{a}{c}; z\right) := \frac{i}{3c} \int_{-\bar{z}}^{i\infty} \frac{\Theta_1(0, -a, c; \tau)}{\sqrt{-i(\tau+z)}} d\tau,$$

We define the following Harmonic Maass forms of weight  $1/2$  :

$$\mathcal{G}_1\left(\frac{a}{c}; z\right) := \mathcal{N}\left(\frac{a}{p}; c\right) z - T_1\left(\frac{a}{c}; z\right),$$

$$\mathcal{G}_2\left(\frac{a}{c}; z\right) := \mathcal{M}\left(\frac{a}{p}; c\right) z + \varepsilon_2\left(\frac{a}{c}; z\right) - T_2\left(\frac{a}{c}; z\right)$$

where

$$\varepsilon_2\left(\frac{a}{c}; z\right) := \begin{cases} 2 \exp\left(-3\pi iz \left(\frac{a}{c} - \frac{1}{6}\right)^2\right) & \text{if } 0 < \frac{a}{c} < \frac{1}{6}, \\ 0 & \text{if } \frac{1}{6} < \frac{a}{c} < \frac{5}{6}, \\ 2 \exp\left(-3\pi iz \left(\frac{a}{c} - \frac{5}{6}\right)^2\right) & \text{if } \frac{5}{6} < \frac{a}{c} < 1. \end{cases}$$



Finally, we define

$$\mathcal{F}_1 \left( \frac{\ell}{p}; z \right) := \eta(z) \mathcal{G}_1 \left( \frac{\ell}{p}; z \right),$$

$$\mathcal{F}_2 \left( \frac{\ell}{p}; z \right) := \eta(z) \mathcal{G}_2 \left( \frac{\ell}{p}; z \right)$$

where  $p > 3$  is a prime and  $1 \leq \ell < p$ . Note : The term  $\varepsilon_2 \left( \frac{a}{c}; z \right)$  is missing from Bringmann and Ono's paper. Let

$$\mathcal{J} \left( \frac{d}{p}; z \right) = \eta(p^2 z) \left( \mathcal{N} \left( \frac{d}{p}; p \right) z - \right.$$

$$\left. 2 \chi_{12}(p) \sum_{\ell=1}^{\frac{1}{2}(p-1)} (-1)^{\ell+d+1} \sin \left( \frac{6d\ell\pi}{p} \right) \left( \mathcal{M} \left( \frac{\ell}{p}; p \right) p^2 z + \varepsilon_2 \left( \frac{\ell}{p}; p^2 z \right) \right) \right)$$

Then

$$\eta(p^2 z) \mathcal{R}_p \left( \zeta_p^d, z \right) = \sin \left( \frac{d\pi}{p} \right) \mathcal{J} \left( \frac{d}{p}; z \right),$$

and

Also defining

$$\mathcal{J}^* \left( \frac{d}{p}; z \right) = \frac{\eta(p^2 z)}{\eta(z)} \mathcal{F}_1 \left( \frac{d}{p}; z \right) - 2 \left( \frac{12}{p} \right)^{\frac{1}{2}(p-1)} \sum_{k=1}^{\frac{1}{2}(p-1)} (-1)^{k+d+1} \sin \left( \frac{6kd\pi}{p} \right)$$

we get

$$\mathcal{J} \left( \frac{d}{p}; z \right) = \mathcal{J}^* \left( \frac{d}{p}; z \right),$$

from the identity






$$T_1 \left( \frac{d}{p}; z \right) = 2 \left( \frac{12}{p} \right)^{\frac{1}{2}(p-1)} \sum_{k=1}^{\frac{1}{2}(p-1)} (-1)^{k+d+1} \sin \left( \frac{6kda\pi}{p} \right) T_2 \left( \frac{k}{p}; p^2 z \right)$$







which comes from a corresponding identity of the theta functions in the integrals involved.






By studying the action of  $U_{p,m}$  and  $A = \begin{pmatrix} a & k \\ p & d \end{pmatrix} \in \Gamma_0(p)$  on  $\mathcal{F}_1\left(\frac{d}{p}; z\right)$  and  $\mathcal{F}_2\left(\frac{k}{p}; p^2 z\right)$ , we find that






$$\mathcal{J}^*\left(\frac{d}{p}; z\right) \mid [U_{p,m}]_1 \mid [A]_1 = (-1)^{d+1} \zeta_p^{mak} \mathcal{J}^*\left(\frac{d}{p}; z\right) \mid [U_{p,ma^2}]_1$$

which completes the proof.

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